

Fluxes, moduli fixing and MSSM-like vacua in a simple IIA orientifold

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Abstract

We study the effects of adding RR, NS and metric fluxes on a $T^6/(\Omega(-1)^{F_L}I_3)$ Type IIA orientifold. By using the effective flux-induced superpotential we obtain Minkowski or AdS vacua with broken or unbroken supersymmetry. In the Minkowski case some combinations of real moduli remain undetermined, whereas all can be stabilized in the AdS solutions. Many flux parameters are available which are unconstrained by RR tadpole cancellation conditions allowing to locate the minima at large volume and small dilaton. We also find that in AdS supersymmetric vacua with metric fluxes, the overall flux contribution to RR tadpoles can vanish or have opposite sign to that of D6-branes, allowing for new model-building possibilities. In particular, we construct the first $\mathcal{N}=1$ supersymmetric intersecting D6-brane models with MSSM-like spectrum and with all closed string moduli stabilized. Some axion-like fields remain undetermined but they are precisely required to give Stückelberg masses to (potentially anomalous) $U(1)$ brane fields. We show that the cancellation of the Freed-Witten anomaly guarantees that the axions with flux-induced masses are orthogonal to those giving masses to the $U(1)$'s. Cancellation of such anomalies also guarantees that the D6-branes in our $\mathcal{N}=1$ supersymmetric AdS vacua are calibrated so that they are forced to preserve one unbroken supersymmetry.

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1 Introduction

One of the most pressing problems in string theory is the issue of moduli stabilization. Lately, important progress has been accomplished by taking into account the freedom of switching on (quantized) RR and NS fluxes in the compact closed string background. This road has been particularly explored in the context of type IIB theory, where RR/NS fluxes create a superpotential [1] that depends on the complex structure fields and the axi-dilaton and allows to fix these fields dynamically [2–13]. In order to further determine the Kähler moduli, non-perturbative effects have also been put to work [14, 15]. For other proposals for fixing Kähler moduli, see [16]. In simple IIB toroidal orientifolds [3–7, 10–13] in general the moduli are fixed in regions in which the compact volume is of order the string scale and/or the dilaton is of order one, so that the validity of an effective 4-dimensional supergravity action is open to question. One of the important reasons why this is the case is that the values of fluxes are strongly constrained by RR tadpole cancellation conditions. The situation is ameliorated in compactifications on IIB Calabi-Yau orientifolds since the flux contribution to tadpoles is typically large and one can generate vacua with all moduli and the dilaton in regions of parameter space where the effective supergravity approximation may be trusted [9].

In comparison, less effort has been devoted to the similar moduli-fixing problem in the case of type IIA compactifications. The fact that in type IIA there are fluxes with both even and odd rank suggests that both complex structure and Kähler moduli fields may be determined simultaneously without resorting to non-perturbative effects. This has been anticipated by several authors [17–21]. Indeed, in the type IIA case, RR/NS backgrounds give rise to superpotentials depending both on Kähler and complex structure moduli, but with no terms mixing both kinds of moduli. Furthermore, in simple toroidal settings one can also include metric fluxes and generate superpotential terms coupling both kinds of moduli [17, 20]. The so-called metric fluxes can arise partially from T-duality of NS fluxes [22–24]. More generally, turning on constant metric fluxes corresponds to Scherk-Schwarz reductions [25] that can be understood as compactifications on twisted tori [26–29].

The purpose of this paper is twofold. We will first present a detailed study of minima of the moduli potential induced by RR, NS and metric fluxes in the simple $T^6/(\Omega(-1)^{F_L}I_3)$ type IIA orientifold. We concentrate on the potential for the dilaton and the diagonal Kähler and complex structure moduli, which may be also viewed as the only untwisted moduli of a related $\mathbb{Z}_2 \times \mathbb{Z}_2$ orientifold. We argue though that the results found for $T^6/(\Omega(-1)^{F_L}I_3)$ ignoring off-diagonal moduli still constitute extrema of the potentials which are stable in relevant cases. We find four classes of (non-singular) vacua which correspond to $\mathcal{N}=1$ supersymmetric models in Minkowski space, no-scale, AdS with $\mathcal{N}=1$ supersymmetry and non-supersymmetric AdS models. In the Minkowski cases only a few of the moduli may be determined. On the other hand, the AdS vacua look particularly interesting since all moduli are stabilized (except for a combination of axion-like fields, we come back to this point below).

The structure of vacua in both Minkowski and AdS space depends very much on the existence or not of metric fluxes which lead to some remarkable new features. In particular, in $\mathcal{N}=1$ supersymmetric AdS vacua *without* metric fluxes, NS and RR fluxes always contribute to RR tadpoles like D6-branes do. The RR tadpole cancellation conditions restrict some of the flux parameters but some others (particularly the RR 4-form and 2-form fluxes) remain unconstrained. Due to this fact, one can easily find minima with all closed string moduli stabilized in regions with large volume and small dilaton, so that the effective supergravity action should be a good approximation. The (negative) cosmological constant may be made arbitrarily small for sufficiently large fluxes. $\mathcal{N}=1$ supersymmetric AdS minima in generic IIA orientifolds with NS and RR fluxes were recently analyzed in [21]. In this case we obtain analogous results. We also find examples of AdS vacua with broken supersymmetry and all moduli stabilized.

In the $\mathcal{N}=1$ AdS vacua with RR/NS backgrounds *and* metric fluxes turned on a particular new property appears. The flux contribution to the RR tadpoles may be positive, negative or zero. This is due to the fact that the RR-charge Q_{RR} has the schematic structure $Q_{RR} \simeq (m\overline{H}_3 + \omega\overline{F}_2)$, where m is the 0-form of massive IIA supergravity and ω represents the metric flux parameters. The signs of the different fluxes are not arbitrary since they are correlated to the signs of the real parts of the moduli fixed at the minimum.

The fact that we can add fluxes determining moduli but not contributing to RR tadpoles is important since this means that we have a rigid ‘corset’, namely the concrete AdS $\mathcal{N}=1$ background, which can be added to any RR tadpole-free configuration of D6-branes to stabilize all moduli. On the other hand, considering fluxes contributing like O6-planes to RR tadpoles is interesting since we can dispose of orientifold planes in certain cases. We find, at least for the massive $m \neq 0$ case, that the AdS supersymmetric minima may be made to reside at points with large compact volume and small dilaton so that corrections are under control.

The observation that one can have string backgrounds leading to vanishing or negative RR charges in AdS is not new, see e.g. [30,31], and is also related to the fact that in the presence of metric fluxes one is really dealing with non-Calabi-Yau manifolds with peculiar topology. In our case we have a twisted torus with a half-flat structure. Then, a D6-brane wrapping a certain 3-cycle in the original torus with RR charges cancelled by some background including metric fluxes may be alternatively understood as a homologically trivial brane in the twisted torus which is however stable because it wraps a generalized calibrated 3-cycle [31,32].

The second main topic in this paper is the inclusion of D6-branes in models with fluxes and the construction of some semi-realistic examples. It turns out that adding branes gives rise to some new interesting features beyond the obvious one of their contribution to RR tadpoles. Stacks of D6-branes wrapping 3-cycles contain in general $U(1)$ fields which couple to RR fields, the imaginary parts of the complex structure moduli and the axi-dilaton. In particular, some of the $U(1)$ ’s get Stückelberg masses by combining with these RR fields. Now, if some of such RR fields get masses from fluxes some inconsistency is expected, in particular, the flux induced superpotential would violate gauge invariance. Therefore, we need that the linear combinations of RR fields combining with $U(1)$ ’s and those getting masses from fluxes should be orthogonal. We find that this is guaranteed as long as the Freed-Witten anomaly [33,34] induced on the world-volume of D6-branes by the fluxes cancels. This turns out to be an important constraint on the Minkowski minima. In the case of AdS $\mathcal{N}=1$ supersymmetric minima, with the real parts of all moduli determined, we find the interesting result that the cancellation of the FW

anomaly automatically forces the branes to preserve supersymmetry, i.e. to wrap special Lagrangian (slag) cycles. Stating it the other way around, any D6-brane wrapping slag cycles will automatically be free of the FW anomaly in this background.

It is important to see how far one can go in stabilizing all moduli in models with possible phenomenological relevance. We present examples of configurations of D6-branes wrapping 3-cycles on the torus and intersecting at angles with chiral MSSM-like spectra and fixed moduli. The models contain three generations of quarks and leptons and one Higgs set, with the gauge group of the SM extended by one or two extra $U(1)$'s and some extra heavy vector-like $SU(2)_L$ doublets and singlets. Some of the examples live in Minkowski space (either $\mathcal{N}=1$ supersymmetric or no-scale), in which case only a few moduli are fixed. On the other hand, we present the first semi-realistic $\mathcal{N}=1$ supersymmetric model in AdS with all closed string moduli stabilized. This model requires the presence of both metric and NS/RR fluxes so that a ‘wrong sign’ contribution to tadpoles, mimicking orientifold planes, is obtained. The minima are stabilized in a perturbative regime and all physical quantities like gauge and Yukawa couplings are given in terms of otherwise undetermined NS and RR fluxes.

The outline of the paper is as follows. In the next section we introduce the basic tools needed to describe the $T^6/(\Omega(-1)^{F_L}I_3)$ type IIA orientifold with fluxes. In section 3 we display the structure of the flux-induced superpotential and discuss the contribution of different fluxes to RR charges. The systematic analysis of the different vacua of the flux-induced potential is presented in section 4. Examples of Minkowski and AdS vacua with/without supersymmetry and with/without metric fluxes are reported. In section 5 we examine the constraints coming from the Freed-Witten anomaly and their connection to the open string $U(1)$'s. Specific semi-realistic intersecting D6-brane models with MSSM-like spectrum are discussed in section 6. This includes the AdS $\mathcal{N}=1$ supersymmetric example with all closed string moduli stabilized. We present some comments and conclusions in section 7. Some related results are collected in two appendices. In appendix A we study the $SU(3)$ structure of the twisted torus and discuss $\mathcal{N}=1$ vacua in terms of torsion classes. In appendix B we present some non-supersymmetric D6-brane configurations with moduli stabilized in AdS.

2 Basic Features

The aim of this section is to present the concepts needed to describe the low-energy effective action of type IIA orientifolds in the presence of background fluxes. We first introduce the moduli fields and then exhibit the superpotential induced by NS and RR fluxes. We next define the so-called metric fluxes and recall how they can partially arise from T-duality of NS fluxes in type IIB.

2.1 IIA orientifolds: moduli and NS/RR fluxes

This section contains a brief review of the structure of IIA Calabi-Yau orientifolds. The treatment follows [19] which the reader can consult for more details. We limit to a discussion of moduli fields, NS/RR fluxes, and flux-induced superpotentials. Our main purpose is to apply the general results to a simple IIA toroidal orientifold.

Compactification of type IIA strings on a Calabi-Yau 3-fold Y gives a $D=4$, $\mathcal{N}=2$ theory with h_{11} vector multiplets and $(1 + h_{12})$ hypermultiplets [35]. Turning on fluxes for the NS and RR field strengths generates a potential for the scalars in these multiplets [18, 36, 37]. To obtain a $\mathcal{N}=1$ theory one can implement an orientifold projection by $\Omega_P(-1)^{F_L}\sigma$, where Ω_P is the world-sheet parity operator, $(-1)^{F_L}$ is the space-time fermionic number for left-movers and σ is an order two involution of Y . The action of σ on the Kähler form and the holomorphic 3-form is $\sigma(J) = -J$ and $\sigma(\Omega) = e^{2i\theta}\Omega^*$. We take $\theta = 0$ and $\sigma(z^i) = \bar{z}^i$, where z^i are local complex coordinates. This implies O6-planes whose tadpoles can be cancelled by adding D6-branes or flux, as we will see.

The closed $(1,1)$ forms split into h_{11}^+ and h_{11}^- , according to whether they are even or odd under σ . There is an equal number $(1 + h_{12})$ of even and odd 3-forms. Then, the resulting matter content from the closed string sector consists of h_{11}^+ vector multiplets, h_{11}^- chiral multiplets corresponding to Kähler moduli, and $(1 + h_{12})$ chiral multiplets corresponding to the dilaton and the complex structure moduli [19]. The scalar components of the Kähler moduli, denoted T_A , are defined in terms of the complexified Kähler form as

$$J_c = B + iJ = i \sum_{A=1}^{h_{11}^-} T_A \omega_A , \quad (2.1)$$

where ω_A are the σ -odd (1,1) closed forms. The complex structure moduli, denoted N_L , $L = 0, \dots, h_{12}$, can be extracted from

$$iN_L = \int_Y \Omega_c \wedge \beta_L \quad ; \quad \Omega_c = C_3 + i\text{Re}(C\Omega) \quad , \quad (2.2)$$

where $C_3 = \sum_L \xi_L \alpha_L$ provides the axions. Here α_L and β_L are respectively the σ -even and σ -odd 3-forms. The field C is in turn specified by

$$C = e^{-\phi_4} e^{K_{cs}/2} \quad ; \quad K_{cs} = -\log\left[-\frac{i}{8} \int_Y \Omega \wedge \Omega^*\right] \quad , \quad (2.3)$$

where ϕ_4 is the T-duality invariant four-dimensional dilaton given by $e^{\phi_4} = e^{\phi_{10}}/\sqrt{\text{vol } Y}$.

To be more explicit let us now consider the example of a factorized 6-torus $\otimes_{j=1}^3 T_j^2$. The area of each sub-torus is $(2\pi)^2 A_j$, where $A_j = R_x^j R_y^j$. The A_j/α' are thus the real part, t_j , of three Kähler moduli T_j . For each sub-torus we take a square lattice, consistent with the orientifold projection. The complex structure parameter of each T_j^2 is then $\tau_j = R_y^j/R_x^j$. It is known, see e.g. [38], that in this setup the IIA $D=4$ fields S and U_i , corresponding to the dilaton and complex structure moduli, have real parts

$$\text{Re } S \equiv s = \frac{e^{-\phi_4}}{\sqrt{\tau_1 \tau_2 \tau_3}} \quad ; \quad \text{Re } U_i \equiv u_i = e^{-\phi_4} \sqrt{\frac{\tau_j \tau_k}{\tau_i}} \quad ; \quad i \neq j \neq k \quad , \quad (2.4)$$

where $e^{\phi_4} = e^\phi/\sqrt{t_1 t_2 t_3}$. We will next obtain these results from the general analysis of [19].

As usual, the holomorphic 3-form can be written as

$$\Omega = (dx^1 + i\tau_1 dy^1) \wedge (dx^2 + i\tau_2 dy^2) \wedge (dx^3 + i\tau_3 dy^3) \quad , \quad (2.5)$$

where $y^i = x^{i+3}$. The orientifold involution acts as $\sigma(x^i) = x^i$ and $\sigma(y^i) = -y^i$. The even and odd 3-forms with one leg on each sub-torus are

$$\begin{aligned} \alpha_0 &= dx^1 \wedge dx^2 \wedge dx^3 \quad ; \quad \beta_0 = dy^1 \wedge dy^2 \wedge dy^3 \quad , \\ \alpha_1 &= dx^1 \wedge dy^2 \wedge dy^3 \quad ; \quad \beta_1 = dy^1 \wedge dx^2 \wedge dx^3 \quad , \\ \alpha_2 &= dy^1 \wedge dx^2 \wedge dy^3 \quad ; \quad \beta_2 = dx^1 \wedge dy^2 \wedge dx^3 \quad , \\ \alpha_3 &= dy^1 \wedge dy^2 \wedge dx^3 \quad ; \quad \beta_3 = dx^1 \wedge dx^2 \wedge dy^3 \quad . \end{aligned} \quad (2.6)$$

Our normalization is such that $\int_{T^6} \alpha_I \wedge \beta_J = \delta_{IJ}$. Substituting in (2.3) we find $C = \text{Re } S$. From (2.2) we then obtain the corresponding moduli $N_0 = S$ and $N_i = -U_i$.

We have only considered 3-forms with one leg on each T_j^2 because these are the directions in which we are going to switch on fluxes. If the orientifold has an extra $\mathbb{Z}_2 \times \mathbb{Z}_2$ symmetry these are in fact the only invariant forms.

The next step is to turn on background fluxes. The NS H_3 is odd under the orientifold action, thus the general flux allowed is

$$\overline{H}_3 = \sum_{L=0}^{h_{12}} h_L \beta_L . \quad (2.7)$$

For the RR forms, F_0 and F_4 are even while F_2 and F_6 are odd under the orientifold projection. We can then have the general expansions

$$\begin{aligned} \overline{F}_0 &= -m \quad ; \quad \overline{F}_6 = e_0 d\text{vol}_6 \\ \overline{F}_2 &= \sum_{A=1}^{h_{11}^-} q_A \omega_A \quad ; \quad \overline{F}_4 = \sum_{A=1}^{h_{11}^-} e_A \tilde{\omega}_A , \end{aligned} \quad (2.8)$$

where $\tilde{\omega}_A$ are the $h_{22}^+ = h_{11}^-$ σ -even (2,2) forms. There are also quantization conditions

$$\frac{\ell^3 \mu_1}{2\pi} \int_{\Pi_3} \overline{H}_3 \in \mathbb{Z} \quad ; \quad \frac{\ell^p \mu_{p-2}}{2\pi} \int_{\Pi_p} \overline{F}_p \in \mathbb{Z} , \quad (2.9)$$

for any p -cycle Π_p in Y . Here $\ell = 2\pi\sqrt{\alpha'}$, and $\mu_p = 1/(2\pi)^p \alpha'^{(p+1)/2}$ [39, 40]. We normally take the various forms, e.g. β_L , to belong to an integer basis so that in units of $2\pi/\mu_{p-2}\ell^p = 1/\ell$ the various coefficients, such as h_L , are integers. Actually, to avoid subtleties with exotic orientifold planes [2, 3] we take the coefficients to be even. Notice that by including the factors of ℓ explicitly, all forms have dimensions $(\text{length})^{-1}$. With these conventions the moduli fields are all dimensionless.

The RR fluxes generate a superpotential for the Kähler moduli that can be written as

$$W_K = \int_Y e^{J_c} \wedge \overline{F}_{RR} , \quad (2.10)$$

where \overline{F}_{RR} represents a formal sum of the even RR fluxes. This result can be obtained [41] applying mirror symmetry to the type IIB superpotential [1]. It can also be derived performing the explicit Kaluza-Klein reduction [19] which also allows to determine the superpotential for the complex structure moduli due to NS flux, namely

$$W_Q = \int_Y \Omega_c \wedge \overline{H}_3 = i \sum_{L=0}^{h_{12}} h_L N_L , \quad (2.11)$$

where we have used (2.2). The Kähler potential for both kinds of moduli are given by

$$K_K = -\log\left[\frac{4}{3} \int_Y J \wedge J \wedge J\right] \quad ; \quad K_Q = -\log e^{-4\phi_4} . \quad (2.12)$$

There are corrections to K_K due to world-sheet instantons and to K_Q due to D2 instantons [19]. We will see later that one can locate the minima of the potential in regions with large volume and small dilaton in which these corrections should be in principle under control.

It is instructive to apply these results to the $\otimes_{j=1}^3 T_j^2$ example. The β_L are given in (2.6) whereas

$$\omega_i = -dx^i \wedge dy^i \quad ; \quad \tilde{\omega}_i = dx^j \wedge dy^j \wedge dx^k \wedge dy^k \quad ; \quad i \neq j \neq k . \quad (2.13)$$

Notice that $\int_{T^6} \omega_i \wedge \tilde{\omega}_j = \delta_{ij}$. It is straightforward to substitute the flux expansions in (2.10) and (2.11) to obtain

$$\begin{aligned} W_K &= e_0 + i \sum_{i=1}^3 e_i T_i - q_1 T_2 T_3 - q_2 T_1 T_3 - q_3 T_1 T_2 + im T_1 T_2 T_3 , \\ W_Q &= ih_0 S - i \sum_{i=1}^3 h_i U_i . \end{aligned} \quad (2.14)$$

These superpotentials have been recently discussed in [17,20]. Finally, the Kähler potential takes the usual expression

$$K = -\log(S + S^*) - \sum_{i=1}^3 \log(U_i + U_i^*) - \sum_{i=1}^3 \log(T_i + T_i^*) . \quad (2.15)$$

2.2 Metric fluxes and twisted tori

In the next section we will see how superpotential terms mixing Kähler and complex structure moduli, including the dilaton, can be generated by switching on so-called metric fluxes. Such backgrounds appear naturally in the context of Scherk-Schwarz reductions [25]. In turn these can be shown (see e.g. [26]) to be equivalent to compactification on a twisted torus defined by

$$d\eta^P = -\frac{1}{2} \omega_{MN}^P \eta^M \wedge \eta^N , \quad (2.16)$$

where ω_{MN}^P are constant coefficients, antisymmetric in the lower indices. The structure constants ω_{MN}^P are the metric fluxes we are interested in. The η^P are the tangent 1-forms and can depend linearly on the internal coordinates x^M , concretely

$$\eta^M = N_N{}^M(x)dx^N \quad ; \quad dx^N = N^N{}_M(x)\eta^M . \quad (2.17)$$

One can define isometry generators as

$$Z_M = N^N{}_M \frac{\partial}{\partial x^N} . \quad (2.18)$$

The metric fluxes are actually the structure constants of the Lie algebra generated by the Z_M , i.e.

$$[Z_M, Z_N] = \omega_{MN}^P Z_P . \quad (2.19)$$

Either from the Jacobi identity of the algebra or from the Bianchi identity of (2.16) one finds that the metric fluxes must satisfy

$$\omega_{[MN}^P \omega_{R]P}^S = 0 . \quad (2.20)$$

It can further be shown that $\omega_{PN}^P = 0$ [25].

We can derive a helpful result for the exterior derivative of a 2-form $X = X_{MN}\eta^M \wedge \eta^N$ using (2.16). For coefficients independent of the x^N we readily find

$$(dX)_{LMN} = \omega_{[LM}^P X_{N]P} . \quad (2.21)$$

Similar formulas can be obtained for higher forms.

We will focus on the case in which only metric fluxes of type $\omega_{ab}^i, \omega_{jk}^i, \omega_{ib}^a, i = 1, 2, 3, a = 4, 5, 6$, are allowed. This can be implemented by imposing a symmetry of (2.16) under the orientifold involution $\eta^i \rightarrow \eta^i, \eta^a \rightarrow -\eta^a$. As in the case of RR and NS fluxes discussed previously, we are only going to switch on metric fluxes along factorized directions. These correspond to the structure constants which are invariant under a $\mathbb{Z}_2 \times \mathbb{Z}_2$ symmetry whose generators transform $(\eta^1, \eta^2, \eta^3, \eta^4, \eta^5, \eta^6)$ into $(-\eta^1, -\eta^2, \eta^3, -\eta^4, -\eta^5, \eta^6)$ and $(\eta^1, -\eta^2, -\eta^3, \eta^4, -\eta^5, -\eta^6)$. In the end there are twelve metric fluxes left. To write down the relations that follow from (2.20) we introduce the notation

$$\begin{pmatrix} a_1 \\ a_2 \\ a_3 \end{pmatrix} = \begin{pmatrix} \omega_{56}^1 \\ \omega_{64}^2 \\ \omega_{45}^3 \end{pmatrix} \quad ; \quad \begin{pmatrix} b_{11} & b_{12} & b_{13} \\ b_{21} & b_{22} & b_{23} \\ b_{31} & b_{32} & b_{33} \end{pmatrix} = \begin{pmatrix} -\omega_{23}^1 & \omega_{53}^4 & \omega_{26}^4 \\ \omega_{34}^5 & -\omega_{31}^2 & \omega_{61}^5 \\ \omega_{42}^6 & \omega_{15}^6 & -\omega_{12}^3 \end{pmatrix} . \quad (2.22)$$

The Jacobi identities imply the twelve constraints

$$\begin{aligned} b_{ij}a_j + b_{jj}a_i &= 0 \quad ; \quad i \neq j \\ b_{ik}b_{kj} + b_{kk}b_{ij} &= 0 \quad ; \quad i \neq j \neq k . \end{aligned} \quad (2.23)$$

There are some obvious solutions of these constraints. For instance, (1): $b_{ij} = 0, \forall i, j$; (2): $a_i = 0, b_{ij} = b_i \delta_{ij}$; (3): $a_i = a, b_{ij} = b, i \neq j, b_{ii} = -b$.

It is also enlightening to see how the twisted torus structure arises by T-dualizing a string background including constant NS-NS 3-form flux [22, 23]. To simplify the discussion, as internal space we take the flat torus T^6 , i.e. we neglect the warp factors needed to have a solution of the equations of motion. Concretely, we start from a type IIB background

$$\begin{aligned} ds^2 &= (dx^1)^2 + \dots + (dx^6)^2 , \\ \mathcal{H}_3 &= -a_1 dx^1 \wedge dx^5 \wedge dx^6 - a_2 dx^4 \wedge dx^2 \wedge dx^6 - a_3 dx^4 \wedge dx^5 \wedge dx^3 . \end{aligned} \quad (2.24)$$

We want to perform T-dualities in x^1, x^2, x^3 . For the magnetic field we choose a gauge such that \mathcal{B}_2 does not depend on the dualized coordinates. We take

$$\mathcal{B}_2 = -a_1 x^6 dx^1 \wedge dx^5 - a_2 x^4 dx^2 \wedge dx^6 - a_3 x^5 dx^3 \wedge dx^4 . \quad (2.25)$$

Using standard results (see e.g. appendix A in [23]) gives the transformed metric

$$\begin{aligned} ds'^2 &= (dx^1 + a_1 x^6 dx^5)^2 + (dx^2 + a_2 x^4 dx^6)^2 + (dx^3 + a_3 x^5 dx^4)^2 \\ &+ (dx^4)^2 + (dx^5)^2 + (dx^6)^2 . \end{aligned} \quad (2.26)$$

Moreover, $\mathcal{H}'_3 = 0$. All of the NS-NS flux is traded for metric flux. From the new metric we read off the following tangent 1-forms

$$\begin{aligned} \eta^1 &= dx^1 + a_1 x^6 dx^5 \quad ; \quad \eta^4 = dx^4 , \\ \eta^2 &= dx^2 + a_2 x^4 dx^6 \quad ; \quad \eta^5 = dx^5 , \\ \eta^3 &= dx^3 + a_3 x^5 dx^4 \quad ; \quad \eta^6 = dx^6 . \end{aligned} \quad (2.27)$$

Taking the exterior derivatives we easily identify the structure constants: $\omega_{56}^1 = a_1$, $\omega_{64}^2 = a_2$, and $\omega_{45}^3 = a_3$.

Finally, an important point is that metric fluxes are also quantized. For the a_i we have just seen that they are obtained from T-duality of NS fluxes. In general this is needed for consistency of the twisted torus structure [42].

3 IIA superpotential and RR tadpoles due to general fluxes

It is instructive to check how a number of terms in the IIA superpotential (including some induced by metric fluxes) may be obtained applying T-duality transformations to the known type IIB results. Our starting point is the type IIB orientifold $T^6/\Omega(-1)^{F_L}I_6$, where I_6 reflects the six internal coordinates x^M . There are 64 O3-planes whose charge can be cancelled by adding D3-branes and/or flux. To go to type IIA we will implement mirror symmetry which is the same as T-duality in x^1, x^2, x^3 . In the type IIA picture there are then O6-planes, wrapping the x^i , $i = 1, 2, 3$, and one can add intersecting D6-branes.

We consider a factorized geometry in which Ω is given in (2.5). In order to generate a superpotential for the τ_i and the axion-dilaton we turn on NS $\overline{\mathcal{H}}_3$ and RR $\overline{\mathcal{F}}_3$ 3-form fluxes that are conveniently expanded in the basis (2.6). For $\overline{\mathcal{F}}_3$ we take the most general combination

$$\overline{\mathcal{F}}_3 = -m\alpha_0 - e_0\beta_0 + \sum_{i=1}^3 (e_i\alpha_i - q_i\beta_i) . \quad (3.1)$$

Mirror symmetry transforms $\overline{\mathcal{F}}_3$ into RR fluxes $(\overline{F}_0, \overline{F}_2, \overline{F}_4, \overline{F}_6)$ in type IIA. For $\overline{\mathcal{H}}_3$ we instead restrict to

$$\overline{\mathcal{H}}_3 = h_0\beta_0 - \sum_{i=1}^3 a_i\alpha_i . \quad (3.2)$$

Under the three T-dualities only h_0 remains as NS flux, i.e. $\overline{\mathcal{H}}_3 \rightarrow \overline{H}_3 = h_0\beta_0$. As discussed before, the a_i become instead metric fluxes, in fact $\omega_{56}^1 = a_1$, $\omega_{64}^2 = a_2$ and $\omega_{45}^3 = a_3$. We do not turn on $\overline{\mathcal{H}}_3 \sim \alpha_0$ because then \mathcal{B}_2 would depend on the x^i . We do not consider $\overline{\mathcal{H}}_3 \sim \beta_i$ fluxes either because they lead to more complicated metrics [23, 29, 43].

The type IIB superpotential induced by the fluxes is given by [1]

$$\mathcal{W} = \int (\overline{\mathcal{F}}_3 - \tau \overline{\mathcal{H}}_3) \wedge \Omega . \quad (3.3)$$

Here $\tau = C_0 + ie^{-\phi}$, where C_0 is the RR 0-form. Substituting (2.5), (3.1) and (3.2) we find

$$\mathcal{W} = e_0 + h_0\tau + i \sum_{i=1}^3 (e_i + a_i\tau)\tau_i - q_1\tau_2\tau_3 - q_2\tau_1\tau_3 - q_3\tau_1\tau_2 + im\tau_1\tau_2\tau_3 . \quad (3.4)$$

Upon mirror symmetry the τ_i go into Kähler moduli and τ becomes the IIA dilaton, $\tau \rightarrow iS$. Hence, we obtain the IIA superpotential

$$W_{ST} = e_0 + ih_0S + \sum_{i=1}^3 (ie_i - a_iS)T_i - q_1T_2T_3 - q_2T_1T_3 - q_3T_1T_2 + imT_1T_2T_3 . \quad (3.5)$$

Notice that for $a_i = 0$ this coincides with (2.14), with $h_i = 0$, that was derived following the analysis of [19]. For $a_i \neq 0$ it agrees with results of [17, 20].

In type IIB the fluxes contribute to the C_4 tadpole with coefficient

$$N_{flux} = \int \overline{\mathcal{H}}_3 \wedge \overline{\mathcal{F}}_3 = h_0m + a_1q_1 + a_2q_2 + a_3q_3 , \quad (3.6)$$

where we already substituted the fluxes at hand. Under mirror symmetry N_{flux} transforms into a C_7 tadpole in the direction of the O6-planes. This tadpole also receives contributions from D6-branes. In general we introduce piles of N_a intersecting D6-branes wrapping the factorizable 3-cycle

$$\Pi_a = (n_a^1, m_a^1) \otimes (n_a^2, m_a^2) \otimes (n_a^3, m_a^3) , \quad (3.7)$$

and the corresponding orientifold images wrapping $\otimes_i(n_a^i, -m_a^i)$. Here n_a^i (m_a^i) are the wrapping numbers along the x^i (y^i) torus directions. Including the O6-planes, that wrap $\otimes_i(1, 0)$, leads to the tadpole cancellation condition

$$\sum_a N_a n_a^1 n_a^2 n_a^3 + \frac{1}{2}(h_0m + a_1q_1 + a_2q_2 + a_3q_3) = 16 . \quad (3.8)$$

This agrees with the result of [20]. Tadpoles due to fluxes of the NS and the RR 0-form have been considered in [18, 21, 44]. To our knowledge, tadpoles due to metric fluxes were first discussed in [7].

The tadpole condition can also be derived from the equation of motion for C_7 in type IIA. Let $G_2 = dC_1 + mB_2 + \overline{F}_2$ and $*F_2 = F_8 = dC_7$, then the relevant piece in the action is

$$\int_{M_4 \times Y} [C_7 \wedge dF_2 + C_7 \wedge (m\overline{H}_3 + d\overline{F}_2)] + \sum_a N_a \int_{M_4 \times \Pi_a} C_7 . \quad (3.9)$$

The first term arises from the kinetic energy $\int G_2 \wedge *G_2$. The second term takes into account the coupling to D6-branes and O6-planes. The important point to notice is that $d\overline{F}_2 \neq 0$ due to the metric fluxes. For instance, using (2.21), we obtain $(d\overline{F}_2)_{456} = (a_1 q_1 + a_2 q_2 + a_3 q_3)$, and thus recover (3.8). Moreover, from other components of C_7 there are further cancellation conditions

$$\begin{aligned} \sum_a N_a n_a^1 m_a^2 m_a^3 + \frac{1}{2}(mh_1 - q_1 b_{11} - q_2 b_{21} - q_3 b_{31}) &= 0 , \\ \sum_a N_a m_a^1 n_a^2 m_a^3 + \frac{1}{2}(mh_2 - q_1 b_{12} - q_2 b_{22} - q_3 b_{32}) &= 0 , \\ \sum_a N_a m_a^1 m_a^2 n_a^3 + \frac{1}{2}(mh_3 - q_1 b_{13} - q_2 b_{23} - q_3 b_{33}) &= 0 . \end{aligned} \quad (3.10)$$

These also agree with the conditions found in [20].

We have just seen that the metric fluxes b_{ij} create RR tadpoles. Recently it has been observed [17, 20] that they also generate superpotential terms involving the U_k , as expected from the fact that the fluxes a_i produce terms involving S . Performing a generalized dimensional reduction on the twisted torus it has been shown in [20] that the superpotential for the complex structure moduli is an extension of W_Q that can be expressed as

$$W_Q = \int_Y \Omega_c \wedge (\overline{H}_3 + dJ_c) . \quad (3.11)$$

Such an expression was already proposed in [22]. The metric fluxes appear in dJ_c that is computed using (2.21). A similar modification of the superpotential occurs in heterotic compactifications on non-Kähler manifolds [45]. In our setup, computing W_Q and combining with W_K in (2.14) yields the full superpotential

$$\begin{aligned} W &= e_0 + ih_0 S + \sum_{i=1}^3 [(ie_i - a_i S - b_{ii} U_i - \sum_{j \neq i} b_{ij} U_j) T_i - ih_i U_i] \\ &- q_1 T_2 T_3 - q_2 T_1 T_3 - q_3 T_1 T_2 + im T_1 T_2 T_3 . \end{aligned} \quad (3.12)$$

This is the result obtained in [20].

An obvious and interesting question is how the above superpotential changes when we include non-diagonal moduli related to parameters τ_{ia} and t_{ia} that can appear in Ω and J . In (3.12) only the diagonal parameters, i.e. $\tau_i \equiv \tau_{i,i+3}$ and $t_i \equiv t_{i,i+3}$, are taken into account. Furthermore, we have only switched on diagonal fluxes, along (2.6) and (2.13), which we still continue to do. Now, from the general expressions of W_K and W_Q , eqs. (2.10) and (3.11), it is easy to see that the diagonal fluxes h_i , e_i and a_i , or h_0 , do not excite the off-diagonal moduli, generically denoted T' and U' . However, the fluxes m , q_i and b_{ij} can potentially generate a superpotential for T' and U' that is at least quadratic in these fields. The Kähler potential has also quadratic corrections. The upshot is that when we look for supersymmetric minima there is always a solution $T' = U' = 0$ and the diagonal moduli fixed as when T' and U' are not included. We know that at the point $T' = U' = 0$ there is a global $\mathbb{Z}_2 \times \mathbb{Z}_2$ symmetry and furthermore, supersymmetry guarantees that this minimum is stable. In the following we will then disregard the off-diagonal moduli.

For future purposes we define

$$\begin{aligned} \tilde{T}_I &= (i, T_1, T_2, T_3) \quad ; \quad A_{IJ} = \begin{pmatrix} -h_0 & h_1 & h_2 & h_3 \\ a_1 & b_{11} & b_{12} & b_{13} \\ a_2 & b_{21} & b_{22} & b_{23} \\ a_3 & b_{31} & b_{32} & b_{33} \end{pmatrix} \\ \tilde{U}_I &= (S, U_1, U_2, U_3) . \end{aligned} \quad (3.13)$$

The \tilde{U}_I dependent superpotential, due to NS and metric fluxes, takes the simple form

$$W_Q = - \sum_{I,J=0}^3 A_{IJ} \tilde{T}_I \tilde{U}_J . \quad (3.14)$$

The flux contribution to C_7 tadpoles can also be written in terms of the matrix A .

Recall that the metric fluxes are constrained by the Jacobi identities (2.23). For instance, there is a solution $b_{ji} = b_i$, $b_{ii} = -b_i$, $a_i = a$. Further choosing RR fluxes $q_i = -c_2$ and $e_i = c_1$, allows a configuration with $T_1 = T_2 = T_3 = T$. Then the superpotential reduces to

$$W = e_0 + 3ic_1T + 3c_2T^2 + imT^3 + ih_0S - 3aST - \sum_{k=0}^3 (ih_k + b_kT)U_k , \quad (3.15)$$

If the fluxes h_k and b_k are independent of k , we can also set $U_1 = U_2 = U_3 = U$.

Given the fluxes leading to (3.15), the tadpole condition (3.8) becomes

$$\sum_a N_a n_a^1 n_a^2 n_a^3 + \frac{1}{2}(h_0 m - 3ac_2) = 16 . \quad (3.16)$$

On the other hand,

$$\begin{aligned} \sum_a N_a n_a^1 m_a^2 m_a^3 + \frac{1}{2}(h_1 m + b_1 c_2) &= 0 , \\ \sum_a N_a m_a^1 n_a^2 m_a^3 + \frac{1}{2}(h_2 m + b_2 c_2) &= 0 , \\ \sum_a N_a m_a^1 m_a^2 n_a^3 + \frac{1}{2}(h_3 m + b_3 c_2) &= 0 . \end{aligned} \quad (3.17)$$

In the next section we will see that to obtain a minimum of the moduli scalar potential the fluxes must satisfy some relations that will in particular fix the sign of the flux contributions to the tadpoles.

4 Vacuum structure in IIA orientifolds with fluxes

In this section we analyze the moduli scalar potential induced by the fluxes. For the Kähler potential we assume the usual tree-level result displayed in (2.15). The scalar potential is then simply

$$V = e^K \left\{ \sum_{\Phi=S,T_i,U_i} (\Phi + \Phi^*)^2 |D_\Phi W|^2 - 3|W|^2 \right\} , \quad (4.1)$$

where $D_\Phi W = \partial_\Phi W + W \partial_\Phi K$ and W is given in eq.(3.12). We want to look for solutions of $\partial V / \partial \Phi = 0$. A well known and easy to show general result is that the simpler unbroken susy conditions $D_\Phi W = 0$ imply a minimum.

As it happens in the IIB case [3, 10], it is quite complicated to perform a complete analysis of all possible minima induced by the flux superpotential. We have however explored several possibilities including $\mathcal{N}=1$ supersymmetric Minkowski vacua, no-scale models in Minkowski, $\mathcal{N}=1$ supersymmetric AdS vacua and non-supersymmetric AdS vacua. Before providing specific details let us make some general comments about these

vacua and their comparison to IIB mirror orientifolds discussed in [3, 10]. In type IIB the flux-induced superpotential only depends on the axion-dilaton and complex structure fields, and all Kähler moduli remain undetermined. In the IIA case at hand all moduli appear in the superpotential and in principle may be fixed. There are however classes of type IIB minima whose mirror IIA dual is not included among our vacua. In particular, those arising when the IIB superpotential is linear in the axion-dilaton τ and at the same time quadratic/cubic in the complex structure fields. In fact, most of the examples in refs. [3, 10] belong to this class. A naive IIB/IIA mirror symmetry would suggest terms in the superpotential linear in S and quadratic/cubic in the Kähler moduli which are not present in our superpotential (3.12). Otherwise, the IIA options for fluxes are richer and lead to many new possibilities, not only in the number of determined moduli but also in the contribution of fluxes to the different RR tadpoles, as we discuss below.

Constraining to the dependence on the 7 moduli, S and the diagonal U_i, T_i , in general one finds that to get (non-runaway) minima the superpotential has to depend at least on four moduli. Note also that the fields S and U_i appear in a similar (linear) way in the superpotential so that, e.g. given a superpotential depending on S , one can obtain another model replacing $S \rightarrow U_i$ and properly relabelling the fluxes. Compared to the original model, the new one so derived has in general different contributions to the RR tadpoles. The same is true for the T_i moduli in the $m = 0$ case. One can obtain new models replacing $T_i \rightarrow U_i$ and exchanging appropriately the fluxes. This will be illustrated below.

In this section we then use the flux-induced superpotential to study $\mathcal{N}=1$ and $\mathcal{N}=0$ type IIA vacua. In appendix A we will also discuss how $\mathcal{N}=1$ vacua in the presence of metric fluxes can be analyzed in terms of compactifications on the twisted torus seen as a manifold with $SU(3)$ structure.

Let us now discuss the different types of vacua in turn. To describe the results we will denote $\text{Re } T_i \equiv t_i$, $\text{Im } T_i \equiv v_i$, $\text{Re } S \equiv s$ and $\text{Re } U_i \equiv u_i$.

4.1 Supersymmetric Minkowski vacua

Supersymmetric Minkowski vacua have $\langle D_\Phi W \rangle = 0$ with $\langle V \rangle = 0$ so that the cosmological constant vanishes. Clearly, (4.1) then implies $\langle W \rangle = 0$ and the supersymmetry condition reduces to $\langle \partial W / \partial \Phi \rangle = 0$. To simplify notation, in the following we stop writing vevs explicitly, it should be obvious when quantities are meant to be evaluated at the extremum.

When W depends on only three fields it suffices to analyze $W = W_K(T_1, T_2, T_3)$, purely due to RR fluxes. Other cases can be easily translated. The general solution of $D_{T_i} W_K = 0$ was found in [46] in relation to BPS black holes, and applied in [47] in the context of type IIA vacua with fluxes. If $W_K = 0$ there are only pathological solutions in which e.g. $t_1 = 0$.

Going to superpotentials depending on four moduli or more it is easy to find models with $\mathcal{N}=1$ supersymmetry in Minkowski space. One can obtain examples of this kind of minima with the superpotential depending on a) one S/U_i modulus and three T_i ; b) two S/U_i fields and two T_i and c) two S/U_i and three T_i . We describe these cases below. We have not found any models with superpotentials depending on more than 5 fields. In all the examples we find we need the presence of metric fluxes and in addition $m = 0$. In all cases there is only a partial fixing of moduli, our examples fixing at most 3 complex linear combinations of moduli. The fluxes in these examples contribute to the RR tadpoles with the same sign as D6-branes do. However they may contribute in any of the four RR tadpole directions, depending on each case. This is explained below.

4.1.1 Superpotentials depending on four moduli

With four fields it is enough to study in detail $W = W(S, T_1, T_2, T_3)$, c.f. (3.5), independent of the U_i . Other cases, e.g. $W(S, U_1, T_2, T_3)$, can be mapped into this by renaming fields and choosing parameters appropriately. We look for solutions of $W = 0$, $\partial_S W = 0$ and $\partial_{T_i} W = 0$. If there are no metric fluxes we find that W must vanish identically to avoid $t_i = 0$. If instead $a_i \neq 0$ there are solutions provided $m = 0$. Moreover, taking the real part of $\partial_\Phi W = 0$ gives four homogeneous equations $a_1 t_1 + a_2 t_2 + a_3 t_3 = 0$ and $a_i s + q_j t_k + q_k t_j = 0$, $i \neq j \neq k$. To have $s, t_i \neq 0$ the determinant of this system must

vanish, this is

$$(a_1q_1 + a_2q_2 - a_3q_3)^2 = 4a_1q_1a_2q_2 . \quad (4.2)$$

Let us discuss now some particular cases.

i) Example SM-1

We can for example take $a_1 = 0$ which requires $q_1 = 0$ to avoid $t_2, t_3 = 0$. The superpotential has the general form

$$W = e_0 + ih_0S + i \sum_i e_i T_i - S(a_2T_2 + a_3T_3) - T_1(q_2T_3 + q_3T_2) . \quad (4.3)$$

It must be $a_2q_2 = a_3q_3$ and for consistency one also needs $e_2a_3 = e_3a_2$, $h_0q_2 = a_3e_1$, and $h_0e_2 = e_0a_2$. Note that these conditions are easily satisfied, e.g. for $h_0 = e_I = 0$. Neither the imaginary nor the real parts of the moduli are fully determined, only

$$h_0 = a_2v_2 + a_3v_3 \quad ; \quad e_2 = a_2\text{Im } S + q_3v_1 \quad ; \quad t_3 = -\frac{q_3t_2}{q_2} \quad ; \quad s = -\frac{q_3t_1}{a_2} . \quad (4.4)$$

For $s, t_i > 0$ we must have $q_2q_3 < 0$ and $a_2q_2 > 0$. Now, the flux term in the (3.8) RR tadpole is equal to $2a_2q_2$ and is therefore positive.

ii) Example SM-2

The above example with $a_1 = 0$ can be used to analyze vacua in which we replace one Kähler, say T_1 , by a complex structure modulus, say U_1 . The $W(S, U_1, T_2, T_3)$ superpotential is now

$$W = -T_2(a_2S + b_{21}U_1) - T_3(a_3S + b_{31}U_1) + e_0 + ih_0S - ih_1U_1 + ie_2T_2 + ie_3T_3 . \quad (4.5)$$

This is clearly equivalent to (4.3) after renaming $T_1 \rightarrow U_1$, $e_1 \rightarrow -h_1$, $q_2 \rightarrow b_{31}$ and $q_3 \rightarrow b_{21}$. The physics is however different. In particular, since all $q_i = 0$ and $m = 0$, these fluxes do not contribute at all to the RR tadpoles. Thus, this is an example in which one can fix moduli without affecting tadpoles, the fluxes are essentially unconstrained, except from the consistency conditions mentioned above. We will see later that there are $\mathcal{N}=1$ supersymmetric AdS vacua in which one can fix all moduli without any restriction from RR tadpoles.

iii) Example SM-3

Another simple solution of (4.2) is to take $a_2 = a_3 = -a_1/2$, and $q_2 = q_3 = -q_1/2$. Further selecting $h_0 = 0$ and $e_I = 0$ one has a superpotential

$$W = -a_1 S T_1 - q_1 T_2 T_3 + \frac{1}{2}(T_2 + T_3)(a_1 S + q_1 T_1) . \quad (4.6)$$

One obtains a supersymmetric Minkowski minimum with $T_1 = T_2 = T_3 = a_1 S / q_1$. The fluxes contribute $(3a_1 q_1 / 2) > 0$ to the (3.8) RR tadpoles.

4.1.2 Superpotentials depending on five or more moduli

With five fields there are solutions of $W = 0$ and $\partial W / \partial \Phi = 0$. This is our next example.

iv) Example SM-4

Consider the superpotential

$$W = -(q_3 T_2 + q_2 T_3) T_1 - (b_{22} T_2 + b_{32} T_3) U_2 - (b_{23} T_2 + b_{33} T_3) U_3 . \quad (4.7)$$

Observe that the non-zero b_{ij} trivially satisfy the Jacobi identities (2.23). If the fluxes satisfy $q_2 b_{22} = q_3 b_{32}$, $q_2 b_{23} = q_3 b_{33}$ and $q_2 q_3 < 0$, there is a solution with $|q_2| t_3 = |q_3| t_2$. There is also a relation $-q_3 t_1 = b_{22} u_2 + b_{23} u_3$. To have $t_1 > 0$ for any $u_2, u_3 > 0$, we need $q_2 b_{22} > 0$ and $q_2 b_{23} > 0$. Hence, the flux piece in (3.10) is negative (same as D6-branes). In this particular case fluxes contribute $2b_{22} q_2$ to the last two RR tadpoles in (3.10).

As we have said, for the given class of W 's, in which the metric fluxes must satisfy the Jacobi identities (2.23), there cannot be supersymmetric Minkowski solutions when W depends on more than five fields. To see this, first observe that without loss of generality we can always take the three T_i to be among the fields in W . Next, from (3.14) we see that $\partial W / \partial \tilde{U}_K = 0$ implies $A_{IJ} \tilde{T}_I = 0$, and taking real part, $A_{ij} t_i \equiv \mathcal{A}_{ji} t_i = 0$, where J takes three or four values. Note that the t_i correspond to the kernel of the metric flux matrix \mathcal{A} . Thus to have solutions with $t_i \neq 0$, $\text{rank } \mathcal{A} \leq 2$. After using the Jacobi identities one is left with $\text{rank } \mathcal{A} = 1$. One can then check that the number of fields in W can be at most five. However, we will see that for W depending on all seven moduli, there are supersymmetric AdS minima in which $D_\Phi W = 0$ but $W \neq 0$.

As all examples so far show, fluxes allowing $\mathcal{N}=1$ supersymmetric Minkowski vacua only fix the moduli partially. Recall that in the type IIB case there are supersymmetric

Minkowski minima with all complex structure fields fixed (but not the Kähler moduli). This is because, as already mentioned, in IIB there are extra superpotential couplings $\tau\tau_i\tau_j$ or $\tau\tau_1\tau_2\tau_3$, whose naive IIA mirror ST_iT_j , $ST_1T_2T_3$ we do not have. This situation changes when W depends on the seven moduli. As we will see, supersymmetric AdS minima with all real moduli determined can then occur. This will be discussed in sections 4.3 and 4.4.

4.2 No-scale models in Minkowski space

As ‘no-scale’ we distinguish models in which the superpotential is independent of three of the moduli, so that the form (2.15) of the Kähler potential guarantees a cancellation of the cosmological constant [48]. In fact, the scalar potential is positive definite and it is minimized with respect to all fields when $D_{\Phi'}W(\Phi') = 0$. Since in general $W \neq 0$, supersymmetry is broken by the F-terms of the fields not appearing in W .

One easily finds no-scale minima with superpotentials depending on the moduli sets $\{U_I, T_1, T_2, T_3\}$ or $\{U_I, U_j, T_k, T_\ell\}$, with $U_I = S, U_i$. There are no minima coming from superpotentials of the form $W(U_I, U_j, U_k, T_\ell)$ or $W(S, U_1, U_2, U_3)$. Unlike the previous case with $\mathcal{N}=1$ supersymmetry, one can find no-scale models with and without metric fluxes. In the latter case one necessarily has $m \neq 0$. As in the $\mathcal{N}=1$ supersymmetric examples, the moduli are typically only partially fixed and the fluxes contribute to RR tadpoles like D6-branes. In particular, if W only depends on S, T_i , the fluxes only contribute to the (3.8) RR tadpoles. If it depends on one or two U_i ’s, fluxes may contribute to other RR tadpoles, but always positively. We can consider a superpotential $W = W(S, T_1, T_2, T_3)$ as generic, since one can always replace S or one or two T_i ’s by U_i ’s if appropriate fluxes are also exchanged.

Our task is then to look for solutions of $D_S W = 0$ and $D_{T_i} W = 0$, without imposing $W = 0$, starting with the $W(S, T_1, T_2, T_3)$ given in eq.(3.5). We remind the reader that this superpotential may be obtained performing T-dualities on the superpotential \mathcal{W} of type IIB generated by certain $\overline{\mathcal{F}}_3$ and $\overline{\mathcal{H}}_3$ fluxes. Now, in the type IIB case, solving $D_\tau \mathcal{W} = 0$, $D_{\tau_i} \mathcal{W} = 0$, is equivalent to demanding that the flux $\overline{\mathcal{G}}_3 = (\overline{\mathcal{F}}_3 - \tau \overline{\mathcal{H}}_3)$ be imaginary self dual (ISD) [2]. Indeed, e.g. the conditions (4.13), (4.14) and (4.15) below

amount to the ISD requirement.

4.2.1 Examples with no metric fluxes

i) Example NS-1

In the case with no metric fluxes one can check that there are minima only if $m \neq 0$, $h_0 \neq 0$, and $\gamma_1 = \gamma_2 = \gamma_3 = 0$, where the γ_i are the combination of RR fluxes

$$\gamma_i = me_i + q_j q_k \quad ; \quad i \neq j \neq k . \quad (4.8)$$

The superpotential has the form

$$W = e_0 + ih_0 S + i \sum_i e_i T_i - q_1 T_2 T_3 - q_2 T_1 T_3 - q_3 T_1 T_2 + im T_1 T_2 T_3 . \quad (4.9)$$

For the moduli we determine $v_i = -q_i/m$, $\text{Im } S = (e_0 m^2 - q_1 q_2 q_3)/h_0 m^2$, whereas for the real parts $h_0 s = m t_1 t_2 t_3$. Hence, $h_0 m > 0$ and again the flux contribution to tadpoles is positive. We also find that at the minimum the superpotential is $W_0 = 2ih_0 s$ and the gravitino mass is $m_{3/2}^2 = h_0 m / 32 u_1 u_2 u_3$. Note that this class of background is mirror to an analogous class of no-scale models in type IIB discussed in [11].

4.2.2 Examples with metric fluxes

With a superpotential of type $W(S, T_1, T_2, T_3)$ this amounts to having $a_i \neq 0$ for some i . Let us consider some simple models giving rise to a no-scale structure.

ii) Example NS-2

Let us study first the case in which $m = 0$ and one of the a_i vanishes, say $a_1 = 0$. We will then be able to translate the results for, say $W(S, U_1, T_2, T_3)$ if we wish. The superpotential has the form

$$W = e_0 + ih_0 S + i \sum_i e_i T_i - S(a_2 T_2 + a_3 T_3) - q_1 T_2 T_3 - q_2 T_1 T_3 - q_3 T_1 T_2 . \quad (4.10)$$

If $m = 0$, then $t_2, t_3 \neq 0$ require $q_1 = 0$. One finds that the axions are then fully determined to be

$$\text{Im } S = \frac{e_2 q_2 - e_3 q_3}{q_2 a_2 - q_3 a_3} ; \quad v_1 = \frac{e_3 a_2 - e_2 a_3}{q_2 a_2 - q_3 a_3} ; \quad v_2 = \frac{h_0 q_2 - e_1 a_3}{q_2 a_2 - q_3 a_3} ; \quad v_3 = \frac{e_1 a_3 - h_0 q_3}{q_2 a_2 - q_3 a_3} . \quad (4.11)$$

There is a further relation $e_0 = h_0 \text{Im } S + e_1 v_1$. The real parts verify $q_2 t_1 t_3 = a_2 s t_2$ and $q_3 t_1 t_2 = a_3 s t_3$. Thus we must have $a_2 q_2 > 0$ and $a_3 q_3 > 0$, indicating a positive contribution to the (3.8) RR tadpoles. On the other hand only pairwise ratios of real moduli are fixed, namely

$$s^2 = \frac{q_2 q_3}{a_2 a_3} t_1^2 \quad ; \quad t_3^2 = \frac{a_2 q_3}{a_3 q_2} t_2^2 . \quad (4.12)$$

At the minimum, $W_0 = -2s(a_2 t_2 + a_3 t_3)$.

In a variant of this model one can further set $a_2 = 0$ and for consistency $q_2 = 0$. The imaginary parts are obtained substituting these values in (4.11). For the real parts it only follows that $a_3 s t_3 = q_3 t_1 t_2$. In yet another variant one can set $a_2 q_2 = a_3 q_3$. The imaginary parts are then given as in (4.4), while $a_3 t_3 = \pm a_2 t_2$ and $a_2 s = \pm q_3 t_1$. This allows either $a_2 a_3 > 0$ or $a_2 a_3 < 0$ (so we could further impose $W_0 = 0$ as in the model SM-1).

iii) Example NS-3

Let us now consider the case with $m \neq 0$, still with $a_1 = 0$. One finds that in order to have a solution the fluxes must verify

$$\gamma_2 = \frac{a_2 \gamma_3}{a_3} \quad ; \quad h_0 \gamma_3 = a_3 (e_1 q_1 + m e_0) . \quad (4.13)$$

For the imaginary parts we obtain

$$\text{Im } S = \frac{m e_0 + q_1 e_1}{m h_0} \quad ; \quad v_1 = -\frac{q_1}{m} \quad ; \quad v_2 = -\frac{q_2}{m} + \frac{a_2 s t_2}{m t_1 t_3} \quad ; \quad v_3 = -\frac{q_3}{m} + \frac{a_3 s t_3}{m t_1 t_2} . \quad (4.14)$$

The real parts instead satisfy

$$a_2 a_3 s^2 = \gamma_1 t_1^2 \quad ; \quad m^2 t_1^2 t_2^2 t_3^2 + a_2^2 s^2 t_2^2 + a_3^2 s^2 t_3^2 = (h_0 m + a_2 q_2 + a_3 q_3) s t_1 t_2 t_3 . \quad (4.15)$$

This shows that $(h_0 m + a_2 q_2 + a_3 q_3) > 0$ and hence the flux contribution to tadpoles is again positive. Notice that the above solution simplifies upon taking $a_2 = 0$ which is consistent if $\gamma_1 = \gamma_2 = 0$. In this case

$$t_3 = \frac{(h_0 m + q_3 a_3) s t_1 t_2}{(a_3 s)^2 + (m t_1 t_2)^2} . \quad (4.16)$$

We also find that at the minimum

$$W_0 = -\frac{2(h_0 m + q_3 a_3) s t_1 t_2}{a_3 s + i m t_1 t_2} . \quad (4.17)$$

The gravitino mass turns out to be

$$m_{3/2}^2 = \frac{(h_0 m + q_3 a_3)}{32 u_1 u_2 u_3} . \quad (4.18)$$

As expected for a no-scale model it only depends on the u_i .

iv) Example NS-4

Consider now an isotropic setup with $a_1 = a_2 = a_3 = a$ and $q_1 = q_2 = q_3 = q$. It is possible to obtain vacua with the four moduli fixed [17]. At the minimum, $\text{Re } T_k = t$, $\text{Im } T_k = v$. After taking $e_I = 0$ we find the equations

$$\begin{aligned} v[2amv^2 - (h_0 m - 3aq)v - 2h_0 q] &= 0 , \\ (h_0 - 3av)(q + mv) &= amt^2 . \end{aligned} \quad (4.19)$$

The dilaton is given by $aS = (q + mv)T^* - iqv$. There is a solution with $v = 0$ which, since then $T = \sqrt{h_0 q / ma}$, $aS = qT$, can occur only if $h_0 m > 0$ and $aq > 0$. A solution with $v \neq 0$ can happen if $(aq/h_0 m) < -1$ and $h_0 m < 0$, or if $-1/9 < (aq/h_0 m) < 0$ and $h_0 m > 0$. In all cases the flux contribution to the RR tadpole is positive.

4.3 AdS vacua without metric fluxes

We now consider the superpotential, depending on all seven moduli, given by the sum of the two terms in (2.14). As we will see, as long as $m \neq 0$, T_i , s and u_i can be fixed in AdS, but only a linear combination of the axions $\text{Im } S$ and $\text{Im } U_i$ is determined. This can occur both for broken and unbroken supersymmetry but in all cases we prove that the contribution of fluxes to RR tadpoles is always positive.

We find that in the absence of metric fluxes the vacuum structure is determined by the combination of fluxes γ_i , c.f. (4.8). In particular, $\gamma_i < 0$ is required to solve $D_{T_i} W = 0$, $D_S W = 0$, and $D_{U_i} W = 0$ in the supersymmetric case. Then, the Kähler axions are fixed as $v_i = -q_i/m$, whereas for the other axions

$$h_0 \text{Im } S - \sum_i h_i \text{Im } U_i = \frac{1}{m^2} [e_0 m^2 - q_1 q_2 q_3 + \sum_i q_i \gamma_i] . \quad (4.20)$$

The real parts are instead determined to be

$$t_1 = \sqrt{\frac{5|\gamma_2 \gamma_3|}{3m^2|\gamma_1|}} \quad ; \quad t_2 = \frac{\gamma_1 t_1}{\gamma_2} \quad ; \quad t_3 = \frac{\gamma_1 t_1}{\gamma_3} \quad ; \quad s = -\frac{2\gamma_1 t_1}{3mh_0} \quad ; \quad u_i = \frac{2\gamma_1 t_1}{3mh_i} . \quad (4.21)$$

Observe that in order to have $s > 0$, $u_k > 0$, it must be that $mh_0 > 0$ whereas $mh_k < 0$. Hence, the flux contribution to the tadpoles is positive in (3.8) and negative in (3.10). Note that in the present case only m , h_0 and h_k are restricted by RR tadpole conditions while the fluxes e_0 , c_1 and c_2 are essentially unconstrained. This will allow us to find minima at arbitrarily large volume and small dilaton, see below. Type IIA supersymmetric AdS vacua without metric fluxes have been recently addressed in [21]. We obtain similar results.

To go beyond supersymmetric minima and find all solutions of $\partial V/\partial\Phi = 0$ we will analyze the case $T_1 = T_2 = T_3 = T$, so that W is given in (3.15) with $b_k = a = 0$. The vacuum structure now depends on $\gamma = mc_1 + c_2^2$. In particular, there exists a supersymmetric AdS minimum only if $\gamma < 0$. We also find that necessarily $mh_0 > 0$ and $mh_k < 0$. Therefore, the flux contribution to the tadpoles is positive in (3.16) and negative in (3.17).

To summarize the results we use the shorthand $\text{Re } T = t$ and $\text{Im } T = v$. The extremum only fixes one linear combination of the imaginary parts of dilaton and complex structure fields which is given by

$$h_0 \text{Im } S - \sum_{k=1}^3 h_k \text{Im } U_k = e_0 - 3c_1 v - 3c_2 v^2 + m v^3. \quad (4.22)$$

There are two branches for v , namely,

$$v_s = \frac{c_2}{m} \quad ; \quad v_{ns} = \frac{c_2 \pm \sqrt{\gamma - m^2 t^2/2}}{m}. \quad (4.23)$$

For each value of v there are various sub-branches according to the relation among the real parts of S and the U_k . From now on we just look at solutions with $h_1 u_1 = h_2 u_2 = h_3 u_3$. In this case there are two sub-branches characterized by

$$(I) \quad : \quad h_k u_k = -h_0 s \quad ; \quad k = 1, 2, 3$$

$$(II) \quad : \quad h_k u_k = h_0 s - m t^3. \quad (4.24)$$

In the v_s sub-branch I,

$$m^2 t^2 = \pm \frac{5}{3} \gamma \quad ; \quad h_0 s = \frac{2}{5} m t^3 \quad ; \quad \Lambda_s = -\frac{\gamma^2}{24 m^2 s u_1 u_2 u_3 t}. \quad (4.25)$$

For $\gamma < 0$ this is the AdS supersymmetric minimum. For $\gamma > 0$ it is a non-supersymmetric AdS extremum with same data for the moduli and the cosmological constant. In the v_s sub-branch II,

$$m^2 t^2 = \pm \frac{5}{\sqrt{6}} \gamma \quad ; \quad h_0 s = \frac{4}{5} m t^3 \quad ; \quad \frac{\Lambda}{\Lambda_s} = \frac{32}{27} \left(\frac{6}{9} \right)^{1/4} \sim 1.071 . \quad (4.26)$$

Both $\gamma < 0$ and $\gamma > 0$ are allowed. In either case it is a non-supersymmetric AdS extremum.

The v_{ns} branch can occur only if $\gamma > 0$. There are two non-supersymmetric AdS sub-branches according to (4.24). Their data are

$$(I) : m^2 t^2 = \frac{4}{3} \gamma \quad ; \quad h_0 s = \frac{2\gamma t}{3m} \quad ; \quad \frac{\Lambda}{\Lambda_s} = \frac{25\sqrt{5}}{48} \sim 1.165 , \quad (4.27)$$

$$(II) : m^2 t^2 = \frac{196}{99} \gamma \quad ; \quad h_0 s = \frac{14\gamma t}{9m} \quad ; \quad \frac{\Lambda}{\Lambda_s} = \frac{11^4 5^2 3^2 \sqrt{55}}{2^4 7^7 \sqrt{3}} \sim 1.070 .$$

This ends our list of non-supersymmetric AdS vacua.

Note that in all these examples without metric fluxes the fixed moduli scale with respect to the RR 4-form and 2-form fluxes c_1 and c_2 as

$$t \simeq s^{1/3} \simeq u_k^{1/3} \simeq \gamma^{1/2} \simeq c_1^{1/2} , c_2 , \quad (4.28)$$

for large fluxes. Thus the compactification volume may be arbitrarily large for large c_1 and/or c_2 . For large fluxes, the four- and ten-dimensional dilatons behave as

$$e^{\phi_4} \simeq c_1^{-3/2} , c_2^{-3} \quad ; \quad e^{\phi} \simeq c_1^{-3/4} , c_2^{-3/2} \quad (4.29)$$

so that the vacua lie in a perturbative regime for sufficiently large RR 4-form and/or 2-form fluxes. Concerning the cosmological constant, one can check that for large fluxes c_1 and c_2 it scales as

$$V_0 \simeq -\gamma^{-9/2} \simeq -c_1^{-9/2} , -c_2^{-9} . \quad (4.30)$$

Thus, for large c_1/c_2 the c.c. goes with the string dilaton like $e^{6\phi}$. The density of RR fluxes is also suppressed. As pointed out in [21], to compute this density a factor of $g_s = e^{\phi}$ must be included. Then, the flux density of \overline{F}_4 (\overline{F}_2) behaves like $c_1^{-3/2}$ (c_2^{-3}) for large c_1 (c_2) fluxes.

4.4 Supersymmetric AdS vacua with metric fluxes

We finally treat the full superpotential given in (3.12). We will see that all real moduli may be fixed at the minimum. Concerning the imaginary parts, some linear combinations of $\text{Im } S$, $\text{Im } U_i$ remain massless but, as we will discuss in the next section, in the presence of D6-branes those massless fields are in fact necessary for certain (potentially anomalous) brane $U(1)$ fields to get a Stückelberg mass. We will also see that to get these minima certain discrete relationships among the fluxes must be fulfilled.

There are two classes of models depending on whether $m = 0$ or not. In the former case one finds that fluxes in general contribute to all RR tadpole directions with a sign which is *opposite* to that of D6-branes. This is important since it offers an alternative to orientifold planes to cancel RR tadpoles. In the second case with $m \neq 0$ one finds the interesting result that, depending on different flux choices, always including metric fluxes, the sign of the contribution to RR tadpoles may be arbitrary and the net contribution may vanish. In the latter case one has a cancellation of a positive RR-NS contribution $h_0 m$ with a RR-metric flux contribution of type $a_i q_i$. This is interesting because in this class of backgrounds all real moduli are determined but the fluxes are unconstrained by RR tadpole cancellation conditions.

We will examine the case $T_k = T$ and look for supersymmetric minima for any m . From $D_{U_k} W = 0$ and $D_S W = 0$, with W given in (3.15), we find

$$3as = b_k u_k . \quad (4.31)$$

Hence, a and b_k must be both non-zero and of the same sign. Moreover, there are consistency conditions

$$3h_k a + h_0 b_k = 0 \quad ; \quad k = 1, 2, 3 . \quad (4.32)$$

Therefore, either both h_0 and h_k vanish or both are non-zero and of opposite sign. These conditions do not involve the moduli so at most we will have five equations for six unknowns, i.e. we will have at least one flat direction for the supersymmetric minima. In fact, only a combination of complex structure axions is fixed as

$$3a \text{Im } S + \sum_{k=1}^3 b_k \text{Im } U_k = 3c_1 + \frac{3c_2}{a}(3h_0 - 7av) - \frac{3m}{a}v(3h_0 - 8av) . \quad (4.33)$$

If $h_0, h_k \neq 0$ using (4.32) we can write the fixed axion combination as $h_0 \text{Im } S - \sum_k h_k \text{Im } U_k$. We also find

$$as = 2t(c_2 - mv) . \quad (4.34)$$

Except for some axion directions, we have thus determined all the moduli in terms of T which is found from the remaining equations. The solution depends on whether m is different from zero or not. We now specify to these two possibilities.

i) $m = 0$. Examples $AdS-1$

When $m = 0$ we find the simple results

$$v = \frac{h_0}{3a} \quad ; \quad 9c_2 t^2 = e_0 - \frac{h_0 c_1}{a} - \frac{h_0^2 c_2}{3a^2} . \quad (4.35)$$

At the minimum, $W_0 = -12c_2 t^2$ and the cosmological constant turns out to be

$$V_0 = \Lambda = -\frac{ab_1 b_2 b_3}{128 c_2^2 t^3} , \quad (4.36)$$

where t is given in (4.35). It is important to notice that (4.34) fixes $c_2 a > 0$ so that in the supersymmetric minima with $m = 0$ the metric fluxes give a negative contribution to the tadpole in (3.16). Similarly, $c_2 b_k > 0$ and the flux contribution to the tadpoles in (3.17) is positive. This is the result we advertised.

Let us now check whether we have enough freedom to locate all moduli at large volume and small dilaton so that one can trust the effective 4-dimensional field theory approximation being used. The fluxes of type a, b_k and c_2 are constrained by the RR tadpole cancellation conditions and by the extra conditions (4.32). The values of h_0 and h_k are constrained by the latter but in principle both may be large as long as $h_0/h_k = -3a/b_k$. On the other hand, the fluxes of the RR 6-form (e_0) and 4-form (c_1) are unconstrained and may be arbitrarily large. Note then that for large e_0 and c_1 the moduli fields behave all like

$$t \simeq s \simeq u_k \simeq e_0^{1/2} , \quad c_1^{1/2} . \quad (4.37)$$

In order for our vacuum to remain in a perturbative regime we would like to have small values for the 4-dimensional coupling e^{ϕ_4} and the 10-dimensional string coupling e^ϕ . They are found to be

$$e^{\phi_4} = (su_1 u_2 u_3)^{-1/4} = t^{-1} \frac{(ab_1 b_2 b_3)^{1/4}}{2 \cdot 3^{3/4} c_2} ,$$

$$e^\phi = e^{\phi_4} t^{3/2} = t^{1/2} \frac{(ab_1 b_2 b_3)^{1/4}}{2 \cdot 3^{3/4} c_2} . \quad (4.38)$$

We thus see that for large t (which may be obtained e.g. with a large 6-form flux e_0) the 4-dimensional dilaton is small. However the string dilaton grows with t . Only by appropriately choosing the fluxes, i.e. with large c_2 one can perhaps maintain it under control. On the other hand such fluxes are in general very much constrained by the RR tadpole conditions so it seems difficult having small string dilaton and large volume at the same time. We will see however that in the case with $m \neq 0$ one can easily stabilize the moduli in the perturbative regime.

ii) $m \neq 0$. Examples $AdS-2$

To deal with $m \neq 0$ it is convenient to introduce a new variable for $\text{Im } T$. If $h_0 \neq 0$ we use

$$v = (\lambda + \lambda_0) \frac{h_0}{3a} \quad ; \quad \lambda_0 = \frac{3c_2 a}{m h_0} . \quad (4.39)$$

The value of λ follows from the cubic equation

$$160\lambda^3 + 186(\lambda_0 - 1)\lambda^2 + 27(\lambda_0 - 1)^2\lambda + \lambda_0^2(\lambda_0 - 3) + \frac{27a^2}{mh_0^3}(e_0 a - c_1 h_0) = 0 . \quad (4.40)$$

Clearly, we need a real solution for λ and moreover, such that $\lambda(\lambda + \lambda_0 - 1) > 0$ because now t is determined from

$$3a^2 t^2 = 5h_0^2 \lambda(\lambda + \lambda_0 - 1) . \quad (4.41)$$

Notice also that (4.34) takes the form $3a^2 s = -2h_0 m \lambda t$. For the cosmological constant we find

$$V_0 = -\frac{ab_1 b_2 b_3 \lambda_0^2 (16\lambda + \lambda_0 - 1)}{1920 c_2^2 t^3 \lambda^3} , \quad (4.42)$$

where λ is the appropriate solution of (4.40) and t is given in (4.41).

There is a variety of cases depending on the values of the different fluxes. One of the interesting features when $m \neq 0$ is that the contribution to the RR tadpoles may have either sign and even vanish. In fact, the flux-induced tadpoles in (3.16) and (3.17) are respectively $\frac{1}{2}h_0 m(1 - \lambda_0)$ and $\frac{1}{2}h_k m(1 - \lambda_0)$. Thus, the flux tadpoles vanish at the special value $\lambda_0 = 1$. This is important, as we mentioned above, since it allows to fix the moduli without any constraint from RR tadpole cancellations.

To analyze the equations that determine λ and t we can proceed in various ways. We could choose for example e_0 and c_1 and study the allowed values of λ_0 . For instance, with $e_0 = c_1 = 0$, we find that to have solutions for λ with acceptable t necessarily $\frac{1}{3} < \lambda_0 < 3$. We also need $mh_0 < 0$ and $mh_k > 0$ so that $s > 0$ and $u_k > 0$. The special value $\lambda_0 = 1$ at which tadpoles vanish is allowed and leads in turn to

$$t = \sqrt{\frac{5}{3}}\lambda \left| \frac{h_0}{a} \right| \quad ; \quad s = -\frac{2mh_0\lambda}{3a^2}t \quad ; \quad u_k = -\frac{2mh_0\lambda}{ab_k}t . \quad (4.43)$$

From the cubic equation we find the value $\lambda = (10)^{2/3}/20$.

As we advanced, with $m \neq 0$ one can locate the minima in perturbative regions. Consider for instance the case $e_0 = c_1 = 0$ and $\lambda_0 = 1$ so that the real moduli are given in (4.43). Note that one can have h_0 , h_k and c_2 arbitrarily large as long as $\lambda_0 = 1$ and eq.(4.32) is respected. Then one can check that

$$e^{\phi_4} \simeq h_0^{-2} \quad ; \quad e^{\phi} \simeq h_0^{-1/2} , \quad (4.44)$$

so that for sufficiently large h_0 the minima will be perturbative. Note also that the NS flux density is diluted for large fluxes since it goes like $h_0/t^{3/2} \simeq h_0^{-1/2}$. Concerning the RR flux \overline{F}_2 , its density also goes like $h_0^{-1/2}$ for large fluxes, taking the factor of g_s into account [21]. The cosmological constant eq.(4.42) scales like

$$V_0 \simeq -h_0^{-5} \simeq -(e^{\phi})^{10} \quad (4.45)$$

and hence is substantially suppressed for large h_0 . Similar results may be obtained for values of λ_0 sufficiently close to 1, which would allow for contributions to RR tadpoles with either sign and of arbitrary size. In section 6 we will consider this possibility to construct a semi-realistic intersecting D6-brane model with all diagonal closed string moduli stabilized.

Let us mention for completeness other solutions within this class of AdS minima with $m \neq 0$. We may start by choosing a preferred value for some of the moduli. For example, we can set $v = 0$, and $h_0 \text{Im } S - \sum_k h_k \text{Im } U_k = 0$. Then, necessarily $c_1 = -3h_0c_2/a$ and, from the cubic equation with $\lambda = -\lambda_0$, $e_0 = 45h_0c_2^2/ma$. This is the solution found in [20].

Another way to proceed with the analysis is to fix λ_0 . For example, we can take $c_2 = 0$ so that $\lambda_0 = 0$. Obviously, $t^2 > 0$ then requires either $\lambda < 0$ or $\lambda > 1$. If $\lambda < 0$, then

$s > 0$, $u_k > 0$ demand $h_0 m > 0$ and $h_k m < 0$, thus the flux contribution to tadpoles is like that of D6-branes. It is more interesting to consider $\lambda > 1$ so that $h_0 m < 0$ and $h_k m > 0$. Furthermore, to satisfy (4.40), it must be $(e_0 a - c_1 h_0) > 0$. Were it not for the fact that the fluxes are integers, we could always find solutions for some chosen λ . But still there is room to adjust the fluxes. For example, for $\lambda = 3/2$ we just need $(e_0 a - c_1 h_0) = -6h_0^3 m/a^2$ and this could be verified say for $e_0 = 0$, $c_1 = 24m$ and $h_0 = 2a$.

We can also set $h_0 = h_k = 0$, but to this end a different parametrization of v , amounting to $\lambda \rightarrow \lambda_0 \hat{\lambda}$, must be employed. Now the interesting case is $\hat{\lambda} < -1$ because $s > 0$, $u_k > 0$ require $c_2 a > 0$ and $c_2 b_k < 0$ so that the flux contribution to tadpoles could cancel that of D6-branes. Again we can choose some $\hat{\lambda}$ and find values of e_0 to satisfy the cubic equation for v . For instance, for $\hat{\lambda} = -3/2$ we need $e_0 m^2 = -161c_2^3$. One can check however that in this and the previous solution it is again hard to achieve at the same time a large value for the volume and a small value for the 10-dimensional dilaton, the reason being that now the value of fluxes h_0 , c_2 and h_k will be constrained by RR tadpole cancellation conditions.

One can also easily find non-susy AdS vacua. We will just show a particularly simple example. In general, there are solutions in which (4.31) and (4.32) are still satisfied. To go further let us set $m = 0$. Then there are solutions with $as = 2c_2 t$ and $v = h_0/3a$, but with the novelty that

$$\pm 9c_2 t^2 = e_0 - \frac{h_0 c_1}{a} - \frac{h_0^2 c_2}{3a^2} . \quad (4.46)$$

With plus sign this is the supersymmetric minimum, but we can also choose the minus sign depending on the fluxes. For instance, if $e_0 = c_1 = 0$, only the non-supersymmetric choice is available. In this case the minimum is AdS and it is typically stable because the eigenvalues of the Hessian are positive or negative but above the Breitenlohner-Freedman bound [49].

5 D6-branes, fluxes and the Freed-Witten anomaly

We are going to consider now adding D6-branes to a IIA background with fluxes turned on. Besides the general RR tadpole cancellation constraints already mentioned, a number

of points do also change. One apparent puzzle is the following. In the world-volume of a generic stack of D6-branes there is a $U(1)$ gauge field whose scalar partner parametrizes the D6-brane position in compact space. These $U(1)$'s often get Stückelberg masses by swallowing RR scalars and disappear from the low-energy spectrum. At the same time these scalars participate in the cancellation of $U(1)$ gauge anomalies through a variation of the Green-Schwarz mechanism [50]. Let us specify now to the toroidal case considered in detail in the present paper. Consider a $D6_a$ wrapping a factorizable torus with wrapping numbers as in eq. (3.7). Then the $U(1)_a$ field couples to RR fields in $D=4$ as follows

$$F^a \wedge N_a \sum_{I=0}^3 c_I^a C_I^{(2)} \quad (5.1)$$

with

$$c_0^a = m_a^1 m_a^2 m_a^3 ; \ c_1^a = m_a^1 n_a^2 n_a^3 ; \ c_2^a = n_a^1 m_a^2 n_a^3 ; \ c_3^a = n_a^1 n_a^2 m_a^3 . \quad (5.2)$$

Here the $C_I^{(2)}$ are 2-forms which are Poincaré duals in $D=4$ to the $\text{Im } U_I$ fields considered above. In terms of them the couplings have a Higgs-like form

$$A_\mu^a \partial^\mu (c_0^a \text{Im } S - c_1^a \text{Im } U_1 - c_2^a \text{Im } U_2 - c_3^a \text{Im } U_3) . \quad (5.3)$$

We thus observe that certain linear combinations of $\text{Im } U_I$ ($U_0 = S$) fields get a mass by combining with open string vector bosons living on the branes. Moreover, these linear combinations of $\text{Im } U_I$ fields will transform with a shift under $U(1)_a$ gauge transformations, like Goldstone bosons do. On the other hand, we have seen above that NS and metric backgrounds give rise to terms in the superpotential linear in $\text{Im } U_I$, i.e.

$$W_Q = \int_Y \Omega_c \wedge (\overline{H}_3 + dJ_c) = - \sum_{I,J=0}^3 A_{IJ} \tilde{T}_I \tilde{U}_J . \quad (5.4)$$

Such terms generically may give rise to potential terms for the $\text{Im } U_I$ fields which would not be invariant under the shifts induced by $U(1)_a$ gauge transformations. The condition to restore consistency and gauge invariance would be to impose the constraint

$$\int_{\Pi_a} (\overline{H}_3 + \omega J_c) = 0 , \quad (5.5)$$

evaluated at the appropriate vacuum. Here Π_a denotes the 3-cycle wrapped by the D6-brane, ω are the metric fluxes and J_c is the complexified Kähler 2-form of the torus. We have used $dJ_c = \omega J_c$, according to (2.21).

In absence of metric fluxes eq. (5.5) may be understood in terms of the Freed-Witten anomaly [7, 33, 34]¹. A simple way to see the origin of this constraint starting from type IIB is as follows [7]. Consider a D3-brane wrapping a 3-cycle Π through which there is some quantized NS flux \overline{H}_3 . On the world-volume of the D3-brane there is a CS coupling of the form $\int C_2 \wedge F_2$, C_2 being the RR 2-form and F_2 the open string gauge field strength. After performing a IIB S-duality transformation one gets a coupling

$$\int_{\Pi \times \mathbf{R}} H_3 \wedge \tilde{A}_1 , \quad (5.6)$$

where \tilde{A}_1 is the gauge field dual to the A_1 form living on the D3-brane. This shows that a background for H_3 gives rise to a tadpole for \tilde{A}_1 and hence to an inconsistency. Performing three T-dualities one expects for D6-branes the analogous term

$$\int_{D6} H_3 \wedge \tilde{A}_4 . \quad (5.7)$$

The resulting tadpole is avoided if $\int_{\Pi_a} \overline{H}_3 = 0$. Equation (5.5) should thus be the extension of this constraint to the case including metric fluxes $\omega \neq 0$.

Note that, ignoring for the moment the effect of metric fluxes, eq. (5.5) implies that all D6-branes wrapping the orientifold should obey

$$\sum_{I=0}^3 c_I^a h_I = 0 . \quad (5.8)$$

This is in general a strong constraint on the possible D6-branes one may add in specific models with flux, as we discuss below in specific examples. Remarkably, this constraint guarantees that combinations of axions getting masses by mixing with vector bosons are orthogonal to those becoming massive from fluxes, the latter being typically of the form $h_0 \text{Im } S - \sum_k h_k \text{Im } U_k$.

Another interesting point is the following. We have seen in section 4.4 that adding fluxes one can fix the torus moduli in a supersymmetry-preserving AdS minimum. Now, for non-zero NS fluxes one finds at the minima that $h_i/h_0 = -s/u_i$. Substituting this in eq. (5.8) and multiplying by the torus volume one arrives at

$$m_a^1 m_a^2 m_a^3 (R_y^1 R_y^2 R_y^3) - m_a^1 n_a^2 n_a^3 (R_y^1 R_x^2 R_x^3) - n_a^1 m_a^2 n_a^3 (R_x^1 R_y^2 R_x^3) - n_a^1 n_a^2 m_a^3 (R_x^1 R_x^2 R_y^3) = 0 . \quad (5.9)$$

¹We thank A. Uranga for pointing out this connection to us.

This condition means that the D6-brane wraps a special Lagrangian cycle (slag). From the effective Lagrangian point of view this is proportional to a Fayet-Iliopoulos (FI) term [38] and hence it imposes dynamically that the D6-brane configuration should be supersymmetric (i.e. all FI terms should vanish). Thus one concludes that, in this class of AdS supersymmetric minima the constraint (5.8) implies that the brane configuration should be also supersymmetric. Notice that including metric fluxes in this class of minima does not add extra constraints to be satisfied due to the relations (4.32).

The condition (5.9) in these AdS vacua is in fact

$$\text{Im } \Omega|_{\Pi_a} = 0 . \quad (5.10)$$

It arises from $\int_{\Pi_a} \overline{H}_3 = 0$ because at the AdS minimum $\overline{H}_3 \propto \text{Im } \Omega$. In turn, in our setup this is a simple consequence of $h_i/h_0 = -s/u_i$. This is also found in a more general analysis of type IIA susy AdS vacua [64, 65]. Likewise, if there are metric fluxes, $dJ_c \propto \text{Im } \Omega$. For instance, in the models of section 4.4 this can be deduced using (4.31). Therefore, even if the NS fluxes vanish, in these models there is still a FW constraint of the form

$$3ac_0^a - b_1c_1^a - b_2c_2^a - b_3c_3^a = 0 . \quad (5.11)$$

This guarantees that combinations of axions acquiring a mass from fluxes or from $U(1)$ mixing are orthogonal to each other

Recently models of type IIB orientifolds with fluxes and intersecting (or rather magnetized) D-branes with semi-realistic spectrum have been constructed [6, 7, 10–12]. Some of them do not verify the (IIB version of) constraint (5.8) and hence would be in principle inconsistent. This possible problem with the FW anomaly was already pointed out in [7] where it was suggested that it could be cured if additional D-branes were included. In the case of IIA orientifolds under consideration we would need to add D4-branes hanging between different sets of D6-branes and their orientifold mirrors. It may be argued [7] that the chiral spectra from intersecting D6-brane models does not get affected by the presence of these extra D4-branes. However, no specific construction with this possible cancellation mechanism has been presented in the literature. In addition, it is not clear whether in the case of supersymmetric D6-brane configurations the addition of the extra

D-branes does not spoil supersymmetry. Given this fact, it seems sensible to impose the constraint (5.8) on specific models with Minkowski vacua and that will be our approach below. In AdS vacua, in which the real parts of all moduli are determined, the FW anomaly cancels automatically if the brane configuration is supersymmetric.

6 Intersecting D6-brane models in the presence of fluxes

We have shown in previous sections that the addition of fluxes in type IIA theory leads to new properties not present in analogous IIB models. Some of the aspects we have found with potential model-building applications are: 1) fluxes may contribute to all four RR tadpoles, 2) one can have examples of fluxes fixing part or all of closed string moduli but not contributing to RR tadpoles, and 3) there are models with metric fluxes (as well as other NS and RR fluxes) in which one can obtain AdS supersymmetric vacua with all moduli stabilized and contribution to RR tadpoles *opposite to that of D6-branes*. In addition to these properties, since plenty of flux variables do not contribute to RR tadpoles, there is substantial freedom in the choice of the parameters of the vacua and in particular one can obtain minima at large volume and small dilaton values, in which the approximations inherent to a 4-dimensional effective Lagrangian approach hold.

To illustrate the possible applications to model-building of these results in previous sections we are going to consider here specific intersecting D6-branes models with semi-realistic spectrum. The first two examples correspond to Minkowski vacua both with unbroken $\mathcal{N}=1$ supersymmetry and with broken supersymmetry but no-scale structure. Although in these cases only some of the closed string moduli are fixed at the minima, it is interesting to consider them since other effects could perhaps stabilize the rest of the moduli. In these two cases the models will be left-right symmetric extensions of the MSSM, with gauge group $SU(3) \times SU(2)_L \times SU(2)_R \times U(1)_{B-L} \times U(1)$, rather than the MSSM. The third example has an AdS supersymmetric background and is particularly interesting since, to our knowledge, is the first semi-realistic three-generation model with all closed string moduli stabilized. In this case also the gauge group is closer to that of the

MSSM, since it is that of the SM with some additional $U(1)$'s. We consider models with non-supersymmetric intersecting branes and all closed string moduli fixed in appendix B.

6.1 Minkowski MSSM-like

In the class of type IIB orientifold models with fluxes studied up to now, it has been shown that flux backgrounds with Minkowski geometry, either $\mathcal{N}=1$ supersymmetric or not, lead to positive contributions to RR tadpoles. This stems from the fact that ISD fluxes always contribute to RR tadpoles as D-branes do. In building semi-realistic models this leads to problems with RR tadpole cancellation conditions, since typically fluxes contribute too much to tadpoles. It was pointed out in [11] that this problem may be cured if appropriate additional D9-anti-D9-brane pairs contributing negatively to some of the RR tadpoles are added. In any case, full cancellation of RR tadpoles in realistic toroidal models require considering orbifold generalizations like $\mathbb{Z}_2 \times \mathbb{Z}_2$ [51,52]. Semi-realistic $\mathcal{N}=1$ supersymmetric type IIB $\mathbb{Z}_2 \times \mathbb{Z}_2$ orientifolds with flux backgrounds have been studied in [6,7,10–12]. The class of models of [11] has a brane content as given in table 1. In the

N_i	(n_i^1, m_i^1)	(n_i^2, m_i^2)	(n_i^3, m_i^3)
$N_a = 8$	(1, 0)	(3, 1)	(3, -1)
$N_b = 2$	(0, 1)	(1, 0)	(0, -1)
$N_c = 2$	(0, 1)	(0, -1)	(1, 0)
$N_{h_1} = 2$	(-2, 1)	(-3, 1)	(-4, 1)
$N_{h_2} = 2$	(-2, 1)	(-4, 1)	(-3, 1)
$8N_f$	(1, 0)	(1, 0)	(1, 0)

Table 1: Wrapping numbers giving rise to a MSSM-like spectrum. Branes h_1 , h_2 and o are added in order to cancel RR tadpoles.

case of the IIB $\mathbb{Z}_2 \times \mathbb{Z}_2$ orientifold the (n, m) integers would be magnetic numbers whereas in the T-dual IIA orientifold they correspond to wrapping numbers along horizontal and vertical directions of each T^2 in the factorized T^6 respectively. Note that this set contains as a subset the MSSM-like model introduced in [53,54]. We assume as in [54] that the b and

c D6-branes sit on top of the orientifold plane so that the corresponding gauge symmetries are enhanced to $SU(2)_L$ and $SU(2)_R$ respectively. The full initial gauge group is then $U(4) \times SU(2)_L \times SU(2)_R \times [U(1)_1 \times U(1)_2]$. Separating one of the a -branes from the other three produces the breaking $U(4) \rightarrow U(3) \times U(1)$. Furthermore, two out of the three $U(1)$'s get a Stückelberg mass by combining with RR axion fields. We are thus left with a gauge group $SU(3)_c \times SU(2)_L \times SU(2)_R \times U(1)_{B-L} \times [U(1)]$, which contains the left-right symmetric extension of the SM with an extra $U(1)$. The branes a, b, c , give rise to a 3-generation MSSM-like spectrum whereas the additional branes $h_{1,2}$ in table 1 are used to help in cancelling the RR tadpoles.

Note that in the case of the $\mathbb{Z}_2 \times \mathbb{Z}_2$ IIA orientifold the RR tadpole cancellation conditions in the presence of fluxes will have the form

$$\begin{aligned}
\sum_a N_a n_a^1 n_a^2 n_a^3 + \frac{1}{2}(h_0 m + a_1 q_1 + a_2 q_2 + a_3 q_3) &= 16 \ , \\
\sum_a N_a n_a^1 m_a^2 m_a^3 + \frac{1}{2}(m h_1 - q_1 b_{11} - q_2 b_{21} - q_3 b_{31}) &= -16 \ , \\
\sum_a N_a m_a^1 n_a^2 m_a^3 + \frac{1}{2}(m h_2 - q_1 b_{12} - q_2 b_{22} - q_3 b_{32}) &= -16 \ , \\
\sum_a N_a m_a^1 m_a^2 n_a^3 + \frac{1}{2}(m h_3 - q_1 b_{13} - q_2 b_{23} - q_3 b_{33}) &= -16 \ .
\end{aligned} \tag{6.1}$$

where the (-16) in the last three conditions is the RR tadpole contribution of the other 3 orientifold planes existing in the $\mathbb{Z}_2 \times \mathbb{Z}_2$ case. Note that the branes h_1 and h_2 contribute negatively to all four RR tadpoles so that in principle one can use them to compensate for a too large contribution to the first tadpole condition from fluxes. Precisely this was the approach in ref. [11] (see also [55]). Here we will use this class of models as our starting point for the IIA orientifold case. Here are some possibilities:

i) A 3 generation $\mathcal{N}=1$ MSSM-like model with some fixed moduli

Consider the above model in which we turn on non-vanishing fluxes as in one of the susy Minkowski examples of section (4.1) with non-vanishing b_{31}, b_{21}, a_2, a_3 (example $SM-2$). The addition of NS fluxes h_0, h_1 and RR e_0, e_2, e_3 , is optional, but we set all the remaining backgrounds to zero. The superpotential has then the form

$$W = -T_2(a_2 S + b_{21} U_1) - T_3(a_3 S + b_{31} U_1) + e_0 + i h_0 S - i h_1 U_1 + i e_2 T_2 + i e_3 T_3 \ . \tag{6.2}$$

As explained in section 4.1 this has a Minkowski supersymmetric minimum with

$$h_0 = a_2 v_2 + a_3 v_3 \quad ; \quad e_2 = a_2 \text{Im } S + b_{21} \text{Im } U_1 \quad ; \quad t_3 = -\frac{b_{21} t_2}{b_{31}} \quad ; \quad s = -\frac{b_{21} u_1}{a_2} . \quad (6.3)$$

as long as $e_2 a_3 = e_3 a_2$, $h_0 b_{31} = -a_3 h_1$, $h_0 b_{21} = -a_2 h_1$ and $h_0 e_2 = e_0 a_2$. Thus in this supersymmetric Minkowski background two complex linear combinations of moduli are fixed at the minimum.

Note that, since $m = q_i = 0$, in this background the fluxes do not contribute to the RR tadpole. Thus one can consider the addition of D6-branes as in the the case with $N_f = 5$ in table 1. As pointed out in [11] with this choice all RR tadpoles cancel without the addition of fluxes in type IIB theory. In the present IIA case we can rather add the background considered here and the RR tadpoles are not modified and hence cancel. However the moduli are partially fixed by eq.(6.3).

It is easy to check that the a, b and c branes where the SM lives trivially satisfy the FW constraint. However the branes of type $h_{1,2}$ may be problematic unless:

$$a_2(m_a^1 m_a^2 m_a^3) - b_{21}(m_a^1 n_a^2 n_a^3) = a_2 - 12b_{21} = 0 \quad (6.4)$$

which on the other hand may be easily satisfied by appropriately choosing a_2, b_{21} . Note that this condition guarantees that the linear combination of $\text{Im } S, \text{Im } U_1$ getting masses through fluxes (eq.(6.3)) is orthogonal to the linear combination getting masses by mixing with the $U(1)$'s of branes $h_{1,2}$.

Note that in the IIB version of this orientifold with fluxes considered in [11], the latter contributes to RR tadpoles and one can only get a one-generation $\mathcal{N}=1$ supersymmetric model.

ii) A 3 generation no-scale model

One can also consider one of the no-scale backgrounds discussed in section (4.2), the variant of the NS -2 model, and include a set of D6-branes as in table 1. A simple example is as follows. Take non-vanishing a_3, q_3 with the remaining $q_i = a_i = 0$. In addition one may include non-vanishing h_0, e_0, e_i but set the remaining backgrounds to zero. The superpotential has then the form

$$W(S, T_i) = -a_3 S T_3 - q_3 T_1 T_2 + e_0 + i h_0 S + i \sum_i e_i T_i . \quad (6.5)$$

The imaginary part of S and the T_i are fixed as in eq.(4.11) whereas one has for the real parts the relationship $a_3 s t_3 = q_3 t_1 t_2$. In addition one has the constraint $e_0 = h_0 \text{Im } S + e_1 v_1$. There is only a contribution equal to $\frac{1}{2} a_3 q_3$ to the first RR tadpole. We consider fluxes quantized in units of 8 to avoid problems with flux quantization [6,7]. One can then cancel tadpoles in a $\mathbb{Z}_2 \times \mathbb{Z}_2$ orientifold with branes as in table 1 with $N_f = 1$ and $a_3 = q_3 = 8$.

One can also consider a no-scale model with a non-vanishing IIA mass parameter m and with no metric fluxes, as described at the beginning of subsection 5.2. One takes non-vanishing m and h_0 . In addition one can have non-vanishing e_i, q_j , verifying $\gamma_i = m e_i + q_j q_k = 0$ ($i \neq j \neq k$). Setting $h_0 = m = 8$ and $N_f = 1$ one cancels all tadpoles. Note that this model, which has no metric fluxes, is the IIA mirror of a similar no-scale model considered in [11].

One can check however that both these no-scale models as they stand have FW anomalies. The danger comes from the $h_{1,2}$ branes which have a non-vanishing product $m_a^1 m_a^2 m_a^3 \neq 0$. One possibility which might cure this problem is if, as suggested in [11], the brane h_1 recombines with the mirror of h_2 into a single (non-factorizable) D6-brane $h_1 + h'_2$. One can in fact claim that this is the generic situation for branes like these which do intersect. In this case, since h_1 and h'_2 have equal and opposite $m_a^1 m_a^2 m_a^3$, the FW would cancel on the recombined brane. On the other hand it is not clear whether after the addition of fluxes a flat direction in the effective potential exists corresponding to the recombination of those branes. In the $\mathcal{N}=1$ supersymmetric AdS model which we describe next no such problem appears.

6.2 A $\mathcal{N}=1$ MSSM-like model with all closed string moduli stabilized in AdS

The previous intersecting brane models were able to combine a semi-realistic spectrum with a partial determination of some closed string moduli. We now show that all such moduli may be stabilized in the case of AdS vacua, thus providing, to our knowledge, the first semi-realistic string model with all closed string moduli stabilized at weak coupling. Note first that in the past it has been argued that it is impossible to construct semi-

realistic $\mathcal{N}=1$ supersymmetric intersecting D6-brane models wrapping the IIA orientifold $T^6/(\Omega(-1)^{F_L}I_3)$. The reason for this was essentially the impossibility to cancel the 4 RR tadpole conditions simultaneously while maintaining supersymmetry. To obtain $\mathcal{N}=1$ supersymmetric models extra orbifold twisting (e.g. $\mathbb{Z}_2 \times \mathbb{Z}_2$, as in previous examples) had to be added, giving rise to extra orientifold planes which help in the cancellation of RR tadpoles [51]. We will show here that one can build $\mathcal{N}=1$ supersymmetric configurations in the purely toroidal orientifold in which those RR tadpoles may be cancelled by the addition of NS/RR and metric fluxes. The role played by additional orientifold planes in orbifold (e.g. $\mathbb{Z}_2 \times \mathbb{Z}_2$) models is here played by the additional fluxes which contribute like orientifold planes. At the same time those fluxes stabilize all closed string moduli in AdS space. Moreover the complex structure moduli are fixed at values which render the D6-brane configuration supersymmetric. Notice that in the $\mathcal{N}=1$ supersymmetric models previously considered in the literature those moduli were not determined by the dynamics.

Let us consider the set of D6-branes wrapping factorizable cycles in the orientifold as in table 2. Note that this set only differs from the previous examples in the form

N_i	(n_i^1, m_i^1)	(n_i^2, m_i^2)	(n_i^3, m_i^3)
$N_a = 4$	(1, 0)	(3, 1)	(3, -1)
$N_b = 1$	(0, 1)	(1, 0)	(0, -1)
$N_c = 1$	(0, 1)	(0, -1)	(1, 0)
$N_{h_1} = 3$	(2, 1)	(1, 0)	(2, -1)
$N_{h_2} = 3$	(2, 1)	(2, -1)	(1, 0)
$N_o = 4$	(1, 0)	(1, 0)	(1, 0)

Table 2: A MSSM-like model with tadpoles cancelled by fluxes. Branes h_1 , h_2 and o are added in order to cancel RR tadpoles.

of the additional branes h_1, h_2 . Another difference is that in our IIA case we have a purely toroidal (no $\mathbb{Z}_2 \times \mathbb{Z}_2$) orientifold without further twisting. The corresponding chiral spectrum at the intersections is given in table 3. In the table a prime indicates

Intersection	Matter fields	Rep.	Q_{3B+L}	Q_1	Q_2
$a - b$	F_L	$3(4, 2_L)$	1	0	0
$a - c$	F_R	$3(\bar{4}, 2_R)$	-1	0	0
$b - c$	H	$(2_L, 2_R)$	0	0	0
$a - h_1$	T_1	$(4, \bar{3}_1)$	1	-1	0
$a - h'_1$	T'_1	$5(4, 3_1)$	1	1	0
$a - h_2$	T_2	$5(\bar{4}, 3_2)$	-1	0	1
$a - h'_2$	T'_2	$(\bar{4}, \bar{3}_2)$	-1	0	-1
$b - h_2$	D_2	$2(2_L, \bar{3}_2)$	0	0	-1
$c - h_1$	D_1	$2(2_R, \bar{3}_1)$	0	-1	0
$h_1 - h'_2$	X	$4(\bar{3}_1, \bar{3}_2)$	0	-1	1

Table 3: Chiral spectrum of the MSSM-like model.

the mirror brane. The gauge group after separating branes and after two of $U(1)$'s get Stückelberg masses is $SU(3) \times SU(2)_L \times U(1)_R \times U(1)_{B-L} \times [U(1) \times SU(3)^2]$. Note that, unlike the case of the $\mathbb{Z}_2 \times \mathbb{Z}_2$ models above, one can make the breaking $SU(2)_R \rightarrow U(1)_R$ by brane splitting, and hence the gauge group is that of the MSSM supplemented by some extra $U(1)$'s. We have three generations of quarks and leptons, one Higgs multiplet H and extra matter fields involving the auxiliary branes h_1 , h_2 and o .²

With this brane content (plus the mirrors) the RR tadpole cancellation conditions are

$$\begin{aligned}
64 + \frac{1}{2}(h_0 m + a_1 q_1 + a_2 q_2 + a_3 q_3) &= 16 , \\
-4 + \frac{1}{2}(h_1 m - q_1 b_{11} - q_2 b_{21} - q_3 b_{31}) &= 0 , \\
-4 + \frac{1}{2}(h_2 m - q_1 b_{12} - q_2 b_{22} - q_3 b_{32}) &= 0 , \\
-4 + \frac{1}{2}(h_3 m - q_1 b_{13} - q_2 b_{23} - q_3 b_{33}) &= 0 .
\end{aligned} \tag{6.6}$$

We see that to cancel tadpoles the sign of the flux contribution must be opposite to that

²One can check that if the branes h_1 and h'_2 recombine, most of the extra matter beyond the SM disappears from the massless spectrum, with only additional $SU(2)_{L,R}$ doublets remaining.

of D6-branes. We will now consider a AdS background with metric fluxes and $m \neq 0$ discussed in section (4.4). The reader can check that choosing the fluxes as

$$q_i = q = h_i - 2 \quad ; \quad a_i = 16 \quad ; \quad m = b_{ij} = -b_{ii} = 4 \quad , \quad (6.7)$$

all RR tadpoles are cancelled. Note that eq.(4.32) fixes $h_0 = -12h_i$, otherwise the values of q , h_0 and h_i may be arbitrarily large still cancelling all RR tadpoles.

The above type of flux backgrounds does give rise to supersymmetric AdS vacua with all real moduli fixed. In fact, the fluxes in (6.7) are isotropic so that the superpotential is of the form (3.15) and the results of section 4.4 with $m \neq 0$ can be applied. One can easily check that with the above fluxes $\lambda_0 = 1 + (24/h_0)$, which is arbitrarily close to 1 for large h_0 . Substituting these fluxes yields for the real moduli

$$s = -\frac{h_0\lambda}{96}t \quad ; \quad u_k = -\frac{h_0\lambda}{8}t \quad ; \quad t = \sqrt{\frac{5}{3}} \frac{|h_0|}{16} \lambda^{1/2} \left(\lambda + \frac{24}{h_0}\right)^{1/2} , \quad (6.8)$$

where λ is the appropriate solution of eq.(4.40) for the λ_0 indicated above. For large h_0 , λ_0 is close to 1 so that $\lambda \simeq (10)^{2/3}/20$ when $e_0 = c_1 = 0$. In this case one needs $h_0 < 0$. The imaginary part of the Kähler moduli are fixed as in eq.(4.39) whereas only the linear combination of dilaton and complex structure axions $12\text{Im } S + \sum_{k=1}^3 \text{Im } U_k$ is fixed, as in eq.(4.33). As discussed in section 4.4, for large h_0 (which also implies large h_k, q) all moduli are stabilized in a regime in which perturbation theory in $D=4$ is a good approximation.

Note that the FW conditions (5.8) for the D6-branes a, h_1 and h_2 read respectively

$$h_2 = h_3 \quad ; \quad h_1 = h_3 \quad ; \quad h_1 = h_2 , \quad (6.9)$$

which are automatically satisfied because $h_1 = h_2 = h_3 = -h_0/12$. As we mentioned, this will guarantee that the the supersymmetry preserving conditions at the brane intersections

$$\begin{aligned} \text{tg}^{-1} \left(\frac{\tau_2}{3} \right) - \text{tg}^{-1} \left(\frac{\tau_3}{3} \right) &= 0 , \\ \text{tg}^{-1} \left(\frac{\tau_1}{2} \right) - \text{tg}^{-1} \left(\frac{\tau_2}{2} \right) &= 0 , \\ \text{tg}^{-1} \left(\frac{\tau_1}{2} \right) - \text{tg}^{-1} \left(\frac{\tau_3}{2} \right) &= 0 , \end{aligned} \quad (6.10)$$

where $\tau_i = R_y^i/R_x^i$, are satisfied, since $u_1 = u_2 = u_3$. This is no surprise, since as we mentioned in section 5, in this class of AdS vacua all branes should be calibrated which in turn implies that the FW anomaly automatically cancels.

In this particular model it is also interesting to look at the structure of $U(1)$'s and the $\text{Im } U_I$ RR fields. It is easy to check that the couplings (5.1) give masses to two linear combinations of $U(1)$'s by combining with certain linear combinations of $\text{Im } U_I$ fields. Only the generator $Q_a - 2(Q_1 - Q_2)$ remains massless at this level. On the other hand, the fields $\text{Im } S$ and $\sum_k \text{Im } U_k$ do not mix with the $U(1)$'s at all, as expected, since FW anomalies cancel. Note that the combination $12\text{Im } S + \sum_k \text{Im } U_k$ is the one which gets a mass from fluxes (see eq.(4.33)). The orthogonal linear combination is massless and may be identified with an axion which may be of relevance for the strong CP problem.

Although we have studied here only the dilaton and the diagonal closed string moduli of the orientifold, we already mentioned that setting all off-diagonal moduli to zero solves the extremum conditions. Furthermore, since we are in a $\mathcal{N}=1$ supersymmetric AdS background, this guarantees that these off-diagonal moduli are also stable. Thus, the closed string background discussed is completely stable. We have then succeeded in building the first semi-realistic $\mathcal{N}=1$ supersymmetric model with all closed string moduli stabilized in a consistent perturbative regime. The vacuum is AdS with a c.c. which may be made small (although not arbitrarily small, see below) for large fluxes. Unlike previous flux constructions in the present case we have a simple toroidal orientifold, without any further orbifold twist. Furthermore, the $\mathcal{N}=1$ supersymmetry conditions on the brane angles are forced upon us by the Freed-Witten constraint plus the minimization. Recall in this respect that in the $\mathcal{N}=1$ supersymmetric brane configurations constructed up to now the angles were fine-tuned to verify the supersymmetry conditions, there was no dynamical explanation for that choice, since not all closed string moduli were fixed.

Let us make some complementary comments about this kind of MSSM-like AdS constructions:

i) Other MSSM-like models in AdS may be constructed along similar lines making use of the backgrounds with metric fluxes and $m \neq 0$ discussed in subsection 4.4. An easy way to proceed is to start with a tadpole free $\mathcal{N}=1$ MSSM-like D6-brane configuration

and embed it in a AdS background of the type $\lambda_0 = 1$ in which fluxes do not contribute to RR tadpoles at all. For example, one can start again from the $\mathbb{Z}_2 \times \mathbb{Z}_2$ orientifold example in table 1 with $N_f = 5$ in which all RR tadpoles cancel. In the prescribed AdS background all moduli are stabilized in a perturbative regime for large enough fluxes.

ii) One could think of building analogous AdS MSSM-like models with a background free of metric fluxes, as in subsection 4.3. This turns out to be difficult because fluxes contribute negatively to the RR tadpoles in eq.(3.17) and hence additional orientifold planes have to be added wrapping those directions. One possibility is to use again the $\mathbb{Z}_2 \times \mathbb{Z}_2$ orientifold which has such O6-planes, but the fluxes tend to overwhelm the contribution of orientifolds and this procedure does not look promising.

iii) Besides the chiral spectrum described above, this class of toroidal models has massless adjoint chiral fields corresponding to the open string moduli parametrizing the location and Wilson lines on the branes. In a supersymmetric AdS background as the one we are considering here, those open string moduli are in any case stable. It is an interesting question to study what happens to them when some supersymmetry-breaking effect is included. It has been shown that fluxes in type IIB stabilize some (but not all) of the open string moduli in the toroidal case [56]. Additional ways to give masses to these degrees of freedom in toroidal models have been recently described in [57, 58]. It should be worth to study this question in the context of our type IIA AdS backgrounds.

iv) Once all moduli are fixed in a given model like this, one can compute a number of interesting physical quantities like gauge coupling constants and Yukawa couplings, since they will be known functions of the fluxes. For example, in the above model the gauge kinetic functions of the groups $SU(4)$, $SU(2)_L$, $SU(2)_R$ have

$$\text{Re } f_{SU(4)} = 9s + u_1 \quad ; \quad \text{Re } f_{SU(2)_L} = \frac{u_2}{2} \quad ; \quad \text{Re } f_{SU(2)_R} = \frac{u_3}{2} . \quad (6.11)$$

Since these are the values at the string scale, to make contact with experiment we should then consider the running to low energies. As we said, simplest toroidal models like this have, in addition to the chiral spectrum, adjoint chiral fields which will generically spoil the running of coupling constants. Let us nevertheless proceed and compute them in this

example. Since u_k and s are related by (4.31) one has e.g.

$$\alpha_{SU(2)_L} = \frac{8}{\lambda|h_0|t} = \frac{128\sqrt{3}}{\sqrt{5}h_0^2\lambda^{3/2}(\lambda + 24/h_0)^{1/2}}, \quad (6.12)$$

and $\alpha_{SU(4)} = \frac{2}{7}\alpha_{SU(2)_L}$. Thus, we see that the SM gauge coupling constants depend strongly on the fluxes. In particular we cannot make h_0 arbitrarily large (as one would naively do to decrease the value of the c.c.) since we would get then too small SM gauge couplings, inconsistent with experiment. For example, in the present model one can see that in order to get values $\alpha_i \simeq 1/5 - 1/30$ which might be consistent with low energy physics one needs to have $h_0 \simeq 100$ but not much bigger. This seems to be a generic property and not a particular feature of this class of models. Thus, indeed we have an infinite ‘landscape’ of models depending on unconstrained fluxes, but only a narrow region of fluxes would lead to consistent low-energy physics. Something similar happens with the Yukawa couplings, which have been computed and can be neatly written in terms of products of Jacobi ϑ -functions in this model [54, 59]. They scale like the gauge couplings and hence are equally suppressed for large fluxes.

v) These models are constructed in AdS and an obvious question is how one could promote this kind of vacua to dS. One possibility which comes to mind is to add anti-D6-branes. Indeed, if we add a pair of D6– $\overline{\text{D6}}$ branes to the model, there is an extra contribution to the scalar potential which has the form

$$V_{\overline{\text{D6}}} \sim \frac{1}{u_1 u_2 u_3} \quad (6.13)$$

and should be included in the complete minimization. This would be essentially the mirror of the approach in [14] which was used in the type IIB case. More generally, one may consider sets of D6-branes with uncanceled NS-tadpoles. A potential is generated due to the missancellation of the tensions of the D6-branes against the orientifold tension, i.e. (in the string frame)

$$V_{\text{D6/O6}} = \frac{T_6}{g_s} \left(\sum_a N_a \|l_a\| - \|l_{\text{ori}}\| \right) > 0 \quad (6.14)$$

where $\|l_a\|$ ($\|l_{\text{ori}}\|$) are the volume of the 3-cycles wrapped by each D6-brane (orientifold). It remains to be seen whether such a procedure can be made to work. In any event, the

kind of fluxes considered here will stabilize non-supersymmetric D6-brane configurations with non-vanishing NS-tadpoles that have been considered in recent years. Examples of such non-supersymmetric D6-brane configurations with the chiral content of the SM are presented in Appendix B.

7 Conclusions

In this paper we have studied the minima of the flux-induced effective moduli potential in a simple $T^6/(\Omega(-1)^{F_L}I_3)$ IIA orientifold. We have focused on the dilaton and the diagonal Kähler and complex structure fields, but we have nevertheless argued that the results found ignoring off-diagonal moduli still provide stable extrema of the full potential in relevant cases. We have considered RR, NS as well as metric background fluxes. Unlike the IIB case, the richness of the flux options leads to a full stabilization of all closed string moduli in AdS without the need of non-perturbative effects. Furthermore, the RR tadpole conditions, which are very restrictive in the IIB case, only constraint some flux combinations in the IIA case. Thus, there is enough freedom to adjust fluxes so that the minima are located in regions with large volume and small dilaton where the effective 4-dimensional supergravity approximations hold. The combination of metric fluxes with NS/RR fluxes leads to new possibilities such as fluxes fixing all moduli in $\mathcal{N}=1$ supersymmetric AdS but not contributing to RR tadpoles. This provides us with a rigid ‘corset-like’ background which can stabilize any RR tadpole-free D6-brane configuration in this toroidal setting. In general, if metric fluxes are turned on, the overall fluxes can contribute to RR tadpoles like O6-planes do, thereby providing the interesting possibility of disposing of orientifold planes in some cases.

In models with all real moduli fixed, only one linear combination of the axions of the dilaton and complex structure fields is determined at the minima. This, which at first sight appears to be a limitation of the approach, is in fact a blessing. Indeed, eventually we may like to add systems of D6-branes leading to chiral physics in the background. The RR axions which are never fixed by fluxes are in fact needed by the D6-branes to get rid of (potentially anomalous) open string $U(1)$ ’s. We have seen that cancellation of the

Freed-Witten anomaly guarantees that sufficient axions remain to give Stückelberg masses to the $U(1)$'s. In the case of AdS $\mathcal{N}=1$ supersymmetric AdS vacua the cancellation of FW anomaly does in turn force the different sets of D6-branes to be calibrated.

One can construct explicit models with a chiral spectrum quite close to that of the MSSM with three generations and with all closed string moduli fixed in AdS. In its construction we make use of fluxes (including metric ones) contributing like orientifold planes to RR tadpoles. Other analogous models may also be built. The minima may be located at large volume and small dilaton so that we can trust our approximations. In such a model, with all moduli fixed, one can compute explicitly all gauge and Yukawa couplings as known functions of the fluxes undetermined by RR tadpoles. In the particular example of section 6.2, essentially only one flux (which may be identified with the NS 3-form flux h_0) fixes all couplings and scales. Thus, although one may talk about a landscape of models depending on a single flux parameter h_0 , only a narrow region of integer values for h_0 would give rise to gauge couplings compatible with experimental constraints. In particular, in our concrete model there is not enough freedom to make the c.c. arbitrarily small by making h_0 large. We believe that this is quite a generic feature. The dilaton and complex structure fields which determine the (inverse of) gauge coupling constants grow like some power of the fluxes. If we make the fluxes too large in order to get e.g. a small c.c. the SM couplings would get far too small.

Our approach has been to consider the metric fluxes as a deformation added to the original torus. The resulting twisted torus is a non-Calabi-Yau manifold in which we still know the moduli and are able to introduce D6-branes. It would be interesting to go beyond the toroidal geometry in this spirit. In appendix A we have shown that the analysis of $\mathcal{N}=1$ vacua deduced from the effective flux-induced superpotential agrees with recent results on supersymmetric IIA compactifications on manifolds with $SU(3)$ structure [60–66]. Although we have worked out many specific minima of the general fluxed potential in the simplest IIA toroidal orientifold, we cannot claim that we have done a complete analysis. Furthermore, we have not explored the possibilities offered by some of the solutions (e.g. AdS non-supersymmetric minima) that we have analyzed nor made a systematic search for MSSM-like D6-brane configurations. Presumably there

are many other options beyond the ones that were discussed. All the models with all moduli stabilized are however AdS. An important problem is how to modify the premises in order to obtain models with dS vacua. A possible option is to add anti-D6-branes or, more generally, consider non-supersymmetric brane configurations. Positive definite contributions to the potential will then in general appear which might help in going to dS. We hope to come back to all these issues in the near future.

Acknowledgments

We thank J.F.García-Cascales, F. Marchesano, S. Theisen, and especially A. Uranga for useful discussions. A.F. thanks the Max-Planck-Institut für Gravitationsphysik for hospitality while preparing this paper. The work of P.G.C. is supported by the Ministerio de Educación y Ciencia (Spain) through a FPU grant. This work has been partially supported by the European Commission under the RTN European Program MRTN-CT-2004-503369 and the CICYT (Spain).

Appendix A: $SU(3)$ structure of twisted torus

In this appendix we study the relation between the metric fluxes and the $SU(3)$ structure of the twisted torus. The idea is to generalize the analysis of [22, 23] by turning on all metric fluxes in (2.22) and not only the a_i obtained from T-duality of NS fluxes. We will see that in this more general situation the twisted torus is still a half-flat manifold as it occurs when only the a_i are present [22, 23]. Using the results in this appendix we will also be able to describe our Minkowski and AdS supersymmetric vacua in terms of torsion classes. Supersymmetric IIA compactifications on manifolds with $SU(3)$ structure have been recently considered in [60–66].

On the twisted torus one can build the fundamental 2-form J and the holomorphic 3-form Ω in the usual way. Including the sizes and complex structure parameters we have

$$\begin{aligned} J &= -t_1\eta^1 \wedge \eta^4 - t_2\eta^2 \wedge \eta^5 - t_3\eta^3 \wedge \eta^6 , \\ \Omega &= (\eta^1 + i\tau_1\eta^4) \wedge (\eta^2 + i\tau_2\eta^5) \wedge (\eta^3 + i\tau_3\eta^6) . \end{aligned} \quad (\text{A.1})$$

These forms define an $SU(3)$ structure. In particular, they satisfy

$$J \wedge \Omega = 0 \quad ; \quad J \wedge J \wedge J = -\frac{3i}{4} \frac{t_1 t_2 t_3}{\tau_1 \tau_2 \tau_3} \Omega \wedge \Omega^* . \quad (\text{A.2})$$

The torsion classes can be read from (see e.g. [22, 60, 67])

$$\begin{aligned} dJ &= \frac{3}{2} \frac{t_1 t_2 t_3}{\tau_1 \tau_2 \tau_3} \text{Im}(\mathcal{W}_1 \Omega^*) + \mathcal{W}_4 \wedge J + \mathcal{W}_3 , \\ d\Omega &= \mathcal{W}_1 J \wedge J + \mathcal{W}_2 \wedge J + \mathcal{W}_5^* \wedge \Omega , \end{aligned} \quad (\text{A.3})$$

where \mathcal{W}_1 is a complex 0-form, \mathcal{W}_2 is a primitive ($\mathcal{W}_2 \wedge J \wedge J = 0$) complex 2-form, \mathcal{W}_3 is a primitive ($\mathcal{W}_3 \wedge J = 0$) real $(2, 1) \oplus (1, 2)$ -form, \mathcal{W}_4 is a real 1-form, and \mathcal{W}_5 is a complex $(1, 0)$ -form. The unusual factor in the first term of dJ is needed so that $d(J \wedge \Omega) = 0$.

When only the metric fluxes (2.22) are turned on, using (2.16) we find

$$\begin{aligned} d\Omega &= \frac{1}{s}(a_1 s + b_{11} u_1 + b_{12} u_2 + b_{13} u_3) \eta^{2536} + \frac{1}{s}(a_2 s + b_{21} u_1 + b_{22} u_2 + b_{23} u_3) \eta^{1436} \\ &\quad + \frac{1}{s}(a_3 s + b_{31} u_1 + b_{32} u_2 + b_{33} u_3) \eta^{1425} , \\ dJ &= (a_1 t_1 + a_2 t_2 + a_3 t_3) \eta^{456} - (b_{13} t_1 + b_{23} t_2 + b_{33} t_3) \eta^{126} \\ &\quad - (b_{12} t_1 + b_{22} t_2 + b_{32} t_3) \eta^{153} - (b_{11} t_1 + b_{21} t_2 + b_{31} t_3) \eta^{423} , \end{aligned} \quad (\text{A.4})$$

where $\eta^{153} = \eta^1 \wedge \eta^5 \wedge \eta^3$, etc.. In $d\Omega$ we have used $u_i = s\tau_j\tau_k$, $i \neq j \neq k$.

Clearly, $d(J \wedge J) = 0$ and $d(\text{Im } \Omega) = 0$, thus the twisted torus with the given fluxes is a half-flat manifold. For the torsion classes we easily read $\mathcal{W}_4 = \mathcal{W}_5 = 0$. The torsions \mathcal{W}_2 and \mathcal{W}_3 are different from zero, they can be readily obtained using (A.3) and

$$\begin{aligned} \mathcal{W}_1 = & \frac{1}{6st_1t_2t_3}(a_1st_1 + a_2st_2 + a_3st_3 + b_{11}t_1u_1 + b_{12}t_1u_2 + b_{13}t_1u_3 \\ & + b_{21}t_2u_1 + b_{22}t_2u_2 + b_{23}t_2u_3 + b_{31}t_3u_1 + b_{32}t_3u_2 + b_{33}t_3u_3) . \end{aligned} \quad (\text{A.5})$$

Notice that this \mathcal{W}_1 is similar to W_Q without the NS fluxes, as expected because it is basically computed as $\int \Omega \wedge dJ$.

In [62] it has been shown that supersymmetric Minkowski vacua of type IIA require $\mathcal{W}_1 = 0$. In our examples of this kind of vacua in section 4.1 we indeed find $\mathcal{W}_1 = 0$. This follows simply because taking real part of $\partial W / \partial \widetilde{U}_J = 0$ gives $\sum_i A_{iJ}t_i = 0$ which is enough to show $\mathcal{W}_1 = 0$. In fact, $dJ = 0$ so that $\mathcal{W}_3 = 0$ as well. We also find that $m = 0$ and then from the real part of $\partial W / \partial T_i = 0$ we deduce that $d\Omega = -\frac{1}{s}\overline{F}_2 \wedge J$. We have examples, such as $W(S, T_1, T_2, T_3)$, $W(U_1, T_1, T_2, T_3)$ or the $W(T_1, T_2, T_3, U_2, U_3)$ in (4.7), in which $d\Omega \neq 0$ and $\mathcal{W}_2 = -\frac{1}{s}\overline{F}_2$. Another characteristic feature of these models with $\overline{F}_2 \neq 0$ is the existence of flux tadpoles for some component of C_7 . There are other models, such as $W(S, U_1, T_2, T_3)$ or $W(U_1, U_2, T_1, T_2)$, in which $d\Omega = 0$ and C_7 tadpoles vanish because $\overline{F}_2 = 0$ is required to have non-zero real parts of the moduli. In all cases, the typical configuration has neither NS fluxes nor RR fluxes for F_6 ($e_0 = 0$) and F_4 ($e_i = 0$). These results are in agreement with the analysis of [62, 63].

Type IIA supersymmetric AdS compactifications have also been studied in terms of $SU(3)$ structures [64, 65]. It is interesting to see how the same type of results follows in our setup. To begin we notice that taking real part of $D_{\widetilde{U}_J} W = 0$ gives

$$\sum_{i=1}^3 A_{iJ}t_i \widetilde{u}_J = -\frac{1}{2} \text{Re } W \quad ; \quad J = 0, \dots, 3 . \quad (\text{A.6})$$

We can use these relations to compute dJ and also

$$\mathcal{W}_1 = -\frac{\text{Re } W}{3st_1t_2t_3} . \quad (\text{A.7})$$

From the explicit dJ we further read $\mathcal{W}_3 = 0$. To calculate $d\Omega$ we look instead at the real part of $D_{T_i}W = 0$ and deduce

$$a_i s + b_{i1}u_1 + b_{i2}u_2 + b_{i3}u_3 = -\frac{\text{Re } W}{2t_i} - \sum_{j \neq k \neq i} t_j (q_k + mv_k) . \quad (\text{A.8})$$

Moreover, combining with (A.6) yields the relation

$$t_1 t_2 (q_3 + mv_3) + t_1 t_3 (q_2 + mv_2) + t_2 t_3 (q_1 + mv_1) = \frac{1}{4} \text{Re } W . \quad (\text{A.9})$$

It is then straightforward to determine $d\Omega$ and from it obtain

$$\mathcal{W}_2 \wedge J = -\frac{1}{4} \mathcal{W}_1 J \wedge J + \frac{m}{s} B_2 \wedge J - \frac{1}{s} \overline{F}_2 \wedge J , \quad (\text{A.10})$$

which satisfies $\mathcal{W}_2 \wedge J \wedge J = 0$ by virtue of (A.9). We conclude that supersymmetric AdS compactifications have \mathcal{W}_1 and \mathcal{W}_2 different from zero but $\mathcal{W}_3 = \mathcal{W}_4 = \mathcal{W}_5 = 0$, as found in [65] in a more general setup. There is also a particular case in which $\mathcal{W}_2 = 0$ [64, 65]. Our example in section 4.4 is of this type. Indeed, using (4.31) gives $\mathcal{W}_1 = 2a/t^2$ and $d\Omega = \mathcal{W}_1 J \wedge J$. We also find $\overline{H}_3 = -\sqrt{|h_1 h_2 h_3 / h_0|} \text{Im } \Omega$.

Appendix B: Stabilizing non-susy intersecting D-brane models

In the $\mathcal{N}=1$ supersymmetric AdS constructions in the main text, we have discussed examples in which all D6-branes preserve the same $\mathcal{N}=1$ supersymmetry. In this appendix we would like to study the non-supersymmetric class of semi-realistic intersecting D6-brane models of ref. [68]. One of the known problems of these non-susy models is that they are unstable due to the existence of NS tadpoles. These appear from a miscancellation of the tensions of the D6-branes with the orientifold tension. We would like to point out here that those non-susy models may in general become stable in the presence of fluxes. We will see that the FW conditions in AdS will force the branes to preserve supersymmetry locally, i.e. any pair of intersecting D6-branes will preserve one unbroken supersymmetry although there is no overall $\mathcal{N}=1$ supersymmetry preserved simultaneously by all D6-branes. We will see that all closed string moduli will be also determined, although, as we

argue at the end, a complete treatment would require taking into account D-term's in the scalar potential.

In [68] a general class of solutions was given for the wrapping numbers (n_a^i, m_a^i) giving rise to a SM spectrum. These are shown in table 4. In this table we have several discrete parameters. First we consider $\beta^i = 1, 1/2$. From the point of view of branes at angles $\beta^i = 1$ stands for a rectangular lattice for the i^{th} torus, whereas $\beta^i = 1/2$ describes a tilted lattice allowed by the ΩI_3 symmetry. We also have two phases $\epsilon, \tilde{\epsilon} = \pm 1$ and the parameter ρ which can only take the values $\rho = 1, 1/3$. Furthermore, each of these families of D6-brane configurations depend on four integers $(n_a^2, n_b^1, n_c^1$ and $n_d^2)$. Any of these choices leads exactly to the same massless fermion spectrum of the SM with 3 generations.

N_i	(n_i^1, m_i^1)	(n_i^2, m_i^2)	(n_i^3, m_i^3)
$N_a = 3$	$(1/\beta^1, 0)$	$(n_a^2, \epsilon\beta^2)$	$(1/\rho, -\tilde{\epsilon}/2)$
$N_b = 2$	$(n_b^1, \tilde{\epsilon}\epsilon\beta^1)$	$(1/\beta^2, 0)$	$(1, -3\rho\tilde{\epsilon}/2)$
$N_c = 1$	$(n_c^1, 3\rho\epsilon\beta^1)$	$(1/\beta^2, 0)$	$(0, 1)$
$N_d = 1$	$(1/\beta^1, 0)$	$(n_d^2, \epsilon\beta^2/\rho)$	$(1, 3\rho\tilde{\epsilon}/2)$

Table 4: D6-brane wrapping numbers giving rise to a SM spectrum. The general solutions are parametrized by a phase $\epsilon, \tilde{\epsilon} = \pm 1$, the NS background on the first two tori $\beta^i = 1 - b^i = 1, 1/2$, four integers $n_a^2, n_b^1, n_c^1, n_d^2$ and a parameter $\rho = 1, 1/3$.

Now, imposing the condition (5.8) for branes a, b, c, d , respectively, leads to the relations

$$\begin{aligned}
h_2 \frac{\epsilon\beta^2}{\rho\beta^1} - h_3 \frac{\tilde{\epsilon}n_a^2}{2\beta^1} &= 0, \\
h_1 \frac{\epsilon\tilde{\epsilon}\beta^1}{\beta^2} - h_3 \frac{\tilde{\epsilon}3\rho n_b^1}{2\beta^2} &= 0,
\end{aligned} \tag{B.1}$$

$$h_3 \frac{n_c^1}{\beta^2} = 0 ,$$

$$h_2 \frac{\epsilon \beta^2}{\rho \beta^1} + h_3 \frac{\tilde{\epsilon} 3 \rho n_d^2}{2 \beta^1} = 0 .$$

These constraints have two solutions, depending on the value of n_c^1 :

i) $n_c^1 \neq 0$

In this case necessarily $h_3 = 0$ and hence $h_1 = h_2 = 0$. Only the flux h_0 may be added and only the field S may be fixed by fluxes.

ii) $n_c^1 = 0$

In this case one can check that the constraints are solved as long as

$$h_3 (n_a^2 + 3 \rho n_d^2) = 0 ,$$

$$h_1 = \frac{3 \rho n_b^1}{2 \epsilon \beta^1} h_3 , \tag{B.2}$$

$$h_2 = \frac{\rho \tilde{\epsilon} n_a^2}{2 \epsilon \beta^2} h_3 ,$$

so that one can only have non-vanishing h_i if $n_a^2 = -3 \rho n_d^2$. One can check that, when this condition is verified, there are two massless $U(1)$'s in the spectrum, $U(1)_R$ and $U(1)_{B-L}$, rather than just hypercharge, and only two linear combinations of the RR fields

$$\text{Im } U_2 - \frac{\tilde{\epsilon} \rho n_a^2}{2 \epsilon \beta^2} \text{Im } U_3 \quad ; \quad \text{Im } U_1 - \frac{3 \rho n_b^1}{2 \epsilon \beta^1} \text{Im } U_3 \tag{B.3}$$

become massive by combining with the $U(1)_{3B+L}$ and $U(1)_b$ gauge bosons respectively.

The orthogonal linear combination

$$\frac{3 \rho n_b^1}{2 \epsilon \beta^1} \text{Im } U_1 + \frac{\tilde{\epsilon} \rho n_a^2}{2 \epsilon \beta^2} \text{Im } U_2 + \text{Im } U_3 = \frac{1}{h_3} \left(\sum_{I=1,2,3} h_I \text{Im } U_I \right) \tag{B.4}$$

is precisely a piece of the combination appearing in the superpotential, which is expected to acquire a mass from fluxes upon minimization. The other RR field $\text{Im } S$ may become massive depending on the presence or not of a non-vanishing h_0 background, which leads to no constraint in the model (since $m_a^1 m_a^2 m_a^3 = 0$ for all the D6-branes present).

If upon minimization one finds $\langle \text{Re } U_I \rangle \simeq 1/h_I$ one obtains that the above conditions imply

$$\frac{\text{Re } U_3}{\text{Re } U_1} = \frac{3 \rho n_b^1}{2 \epsilon \beta^1} \quad ; \quad \frac{\text{Re } U_3}{\text{Re } U_2} = \frac{\rho \tilde{\epsilon} n_a^2}{2 \epsilon \beta^2} . \tag{B.5}$$

One can check that these conditions guarantee that at each brane intersection there is one unbroken supersymmetry, although in this model no overall supersymmetry generator is preserved by all intersections. This kind of local (but not global) supersymmetry was termed ‘Q-SUSY’ in [38]. Thus we see that adding fluxes $h_i \neq 0$, $i = 1, 2, 3$, is only possible if the brane configuration is locally supersymmetric.

Let us now be more explicit and chose the wrapping number parameters as follows:

$$n_a^2 = -n_d^2 = \beta_1 = \epsilon = \tilde{\epsilon} = 1 \quad ; \quad \beta_2 = 1/2 \quad ; \quad \rho = 1/3 \quad ; \quad n_b^1 = 2 . \quad (\text{B.6})$$

One can easily check that RR tadpoles cancel without the addition of any further D6-brane nor fluxes. The conditions (B.2) now read

$$h_1 = h_3 = 3 h_2 . \quad (\text{B.7})$$

Consider now again the same AdS vacua with $m \neq 0$ that we discussed in section 4.4. We saw there that for $m \neq 0$ one can find AdS vacua in which the NS/RR contribution mh_0 to RR tadpoles may be cancelled by the metric fluxes contribution $3ac_2$ ($\lambda_0 = 1$ case). Then we have the interesting possibility of fixing all closed string moduli without fluxes contributing to RR tadpoles at all. Consider backgrounds as follows

$$b_k = (-6, -2, -6) \quad ; \quad h_k = r b_k , \quad (\text{B.8})$$

where $r = -h_0/3a$ must be a positive integer. Then, if we further chose $e_0 = c_1 = 0$ one finds a minimum of the flux-induced potential as long as $h_0 > 0$, $a < 0$, $m < 0$. The flux contribution to tadpoles vanishes if we further take $c_2 = -rm$. Then the real parts of closed string moduli are fixed as

$$u_k = \frac{3as}{b_k} \quad ; \quad s = \frac{m}{a10^{1/3}}rt \quad ; \quad t = \frac{\sqrt{15}10^{2/3}}{20}r . \quad (\text{B.9})$$

Certain linear combinations of the imaginary parts of the moduli fields are fixed as discussed in subsection 4.4. Note that choosing $r = -h_0/3a$ large one can fix the moduli at arbitrarily large values with small 4- and 10-dimensional dilatons.

As we mentioned, one has to be careful in applying the results obtained in the main text to a non-supersymmetric brane configuration like this. Indeed, in this case in addition to the F-term scalar potential one has to add the piece (6.14). Still one expects a full determination of all closed string moduli also in this non-supersymmetric example.

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